Serial No

65126

# TO BE RETAINED BY TAXPAYER

# TREASURY DEPARTMENT—BUREAU OF INTERNAL REVENUE

<b>INCOME</b>	TAX	RECEIPT	(ALIEN)	OPER. INT
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Form 1040D-5	8		NEW MEY	AGT.
nt 8:		YEARS.	1 mi RORKS	men.
Mutanta 1925	1	1995	1976	ТОТА
Income		1572,-	3000.	
Exemptions		1000,	f3.33	
Taxable income		572,-	2916,67	
Tax due	20%	11.44	58.33	
Penalty	1/4	2.86	14.54	
Offer in compromise	6	<u> </u>		
Total Amount Due		. 8.58	43.75	5
Acknowledgment has been made by t Return of identical date and serial numbe	r as this form of receipt	of	OFFER IN COMPROMIS	SE.
notice that his income tax period has been with Section 250(g) of the Revenue Act	on terminated in accordation of 1918 as set forth on	the I hereby tend	er the sum of \$	in con
reverse side of this form. Received of	rely !	My failure was du	turn for the yearse to no attempt on my part, but entirely or in part to ig	to evade pay
this W day of Jan	, 192, at the office of	the [SEAL.]	(Signature	of Taxpayer.)

[SEAL.]

Supervising Revenue Agent,

(Signature of officer administering oath.)

District No.

Supervising Reve

(Signature of officer receiving payment.)

# IN FICE OF TERMINATION OF TAXABLE PERIOD

# REVENUE ACT OF 1918924

Sec. 250. (g) If the Commissioner finds that a taxpayer designs quickly to depart from the United States or to remove his property therefrom, or to nceal himself or his property therein, or to do any other act tending to prejudice or to render wholly or partly ineffectual proceedings to collect the tax r the taxable year then last past or the taxable year then current unless such proceedings be brought without delay, the Commissioner shall declare the xable period for such taxpayer terminated at the end of the calendar month then last past and shall cause notice of such finding and declaration to be ven the taxpayer, together with a demand for immediate payment of the tax for the taxable period so declared terminated and of the tax for the preding taxable year or so much of said tax as is unpaid, whether or not the time otherwise allowed by law for filing return and paying the tax has expired; id such taxes shall thereupon become immediately due and payable. In any action or suit brought to enforce payment of taxes made due and payable virtue of the provisions of this subdivision the finding of the Commissioner, made as herein provided, whether made after notice to the taxpayer or bt, shall be for all purposes presumptive evidence of the taxpayer's design. A taxpayer who is not in default in making any return or paying income, ar-profits, or excess-profits tax under any Act of Congress may furnish to the United States, under regulations to be prescribed by the Commissioner with he approval of the Secretary, security approved by the Commissioner that he will duly make the return next thereafter required to be filed and pay the x next thereafter required to be paid. The Commissioner may approve and accept in like manner security for return and payment of taxes made due nd payable by virtue of the provisions of this subdivision, provided the taxpayer has paid in full all other income, war-profits, or excess-profits taxes due om him under any Act of Congress. If security is approved and accepted pursuant to the provisions of this subdivision and such further or other security ith respect to the tax or taxes covered thereby is given as the Commissioner shall from time to time find necessary and require, payment of such taxes hall not be enforced by any proceedings under the provisions of this subdivision prior to the expiration of the time otherwise allowed for paying such espective taxes.

# INCOME AND PROFITS TAX REGULATIONS NO. 45.

ART. 1013. Declaration of termination of taxable period.—In the case of a taxable person who designs by immediate departure from the country r otherwise to avoid payment of the tax for the preceding or current taxable year, the Commissioner may so find upon evidence satisfactory to him and hay declare the taxable period for such person terminated at the end of the month last past, causing the service upon him of a notice and demand for immediate payment of the tax declared due and any other tax unpaid. In such a case the taxpayer is entitled to a full personal exemption and credit or dependents. See section 216 of the statute and article 305. If suit is necessary to collect the tax, the Commissioner's finding is presumptive evidence of the taxpayer's design. A person who is not in default in making returns or in paying other taxes may procure the postponement until the usual time of the payment of taxes declared or declarable to be due pursuant to this article by depositing with the Commissioner United States bonds of a principal amount double the estimated amount of taxes due from such person for the taxable year or by furnishing such other security as may be approved by the Commissioner. See section 1320.

In accordance with the foregoing, notice is hereby served on the individual whose name appears on the reverse side of this sheet that the Commissioner finds that the taxpayer designs quickly to depart from the United States or to remove his property therefrom or to conceal himself or his property therein, or to do some other act tending to prejudice or render wholly or partly ineffectual proceedings to collect the tax for the year then last past or the taxable year now current. The taxable period of such taxpayer is therefore declared terminated at the end of the calendar month then last past, and demand is made for immediate payment of the tax for the taxable period so declared terminated and of the tax for the preceding taxable year or so much of said tax as is unpaid. Demand is also made for the payment of any income taxes due the United States Government with respect to income for prior years which have not been paid.

WM. M. WILLIAMS, Commissioner.

# Sheet B

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1			
5	B	6	=
to	1.	3	1
5			
pro	mis	e fo	r

nue Agent.

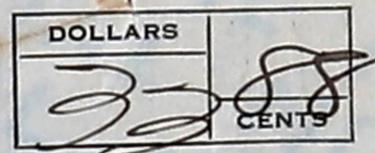
law.

nent of tax

2-10256

ab n w th to a fi w s CHUTT

# 260290 RECEIPT



FOR REMITTER
TO DETACH AND HOLD
MUST BE PRESENTED AT
OFFICE OF ISSUE WHEN INQUIRY IS MADE REGARDING
ORDER

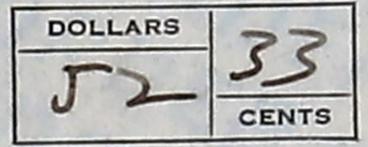
ISSUING OFFICE

STAMP HERE

# HOLD THIS RECEIPT UNTIL SURE THE ORDER HAS

SENT TO. FOR -ADDRESS.

# 77762 RECEIPT



FOR REMITTER
TO DETACH AND HOLD
MUST BE PRESENTED AT
OFFICE OF ISSUE WHEN INQUIRY IS MADE REGARDING
ORDER

ISSUING OFFICE

28

STAMP HERE

HOLD THIS RECEIPT UNTIL SURE THE ORDER HAS

SENT TO

JAM 23 100

ADDIESS\_ SUPER HAT REW NEW YOR

FOR

Form 1040 C INDIVIDITAL INC	COME TAX RETURN	Do not write in this space
O. D. Milanian	DEPARTING ALIENS	Segial
	cable Year 192	Number
Number	72 10 7/ 19	Amount Paid, \$
///	ADDRESS PLAINLY BELOW	(Cashier's Stamp)
Name of Contain NAMES. NICHOLA	N ROERICH	
Date of Sailing STREET 3//	IFRSIOE DRIVE	
Country of Destination CITY	STATE	Check Cash M. O.
Total Number of Persons OCCUPATION	Rate of Pay Per Day, \$	Ву
4. If you filed an income tax return in the United States, Year Net Income Tax Paid	ved in nonres ed States United give the following information for the pa District in which return v	a resident or ident of the 1 States? st three years: vas filed
192 \$		
192	2 / 22 /	
1925	ad ay	
INCOME	COMPUTATION OF TA	x
1. Salaries, wages, etc. (State name of employer)\$	11. Earned net income	
(a)	12. Less: Personal exemption	
(b)	13. Credit for dependents.	
2. Interest received on bank deposits, etc	14. Balance (Item 11 minus 12 ar	
3. Dividends from domestic corporations	15. Fax on earned net income	
4. Other income. (State kind of income)	16. Credit of 25% of Item 15	7
(a)	17. Net income (Item 10)	\$6950
5. Total Income in Items 1 to 4\$		
DEDUCTIONS	18. Less: Dividends (Item 3) 19. Personal exemption Credit for dependents	1300
6. Interest paid on borrowed money\$	Credit for dependents	
7. Taxes paid (except Federal income taxes)	Balance (Item 17 minus 18, 1	9, and 20) \$5450
8. Other deductions. (Explain below)	22. Normal tax on Item 21	1113 477
(a)	23 Less Earned income credit	(Item 16, 25 1)
(b)	not over 25% of Item	1 22)
9. Total Deductions in Items 6 to 8\$	24. Income tax paid at source	
10. Net Income (Item 5 minus Item 9) \$\$	25. Balance of tax (Item 22 minu and 24)	s 1 tems 23 s 33 y g
I swear (or affirm) that this return has been examine complete return for the taxable period as stated, pursuar thereof.	AFFIDAVIT  ed by me, and, to the best of my knowled to the Revenue Act of 1926 and regulations.	dge and belief, is a true and tions issued under authority
/ 1	he reasen therefor must be stated on this line)	7
Sworn to and subscribed before me this day of	of, 192(Sign	ature of taxpayer or agent)
Cus/Olienber	00	(Address of agent)
(Signature of officer administering oath)	(Title)	(Address of agent)
This certifies that the above-named person has compend of the month just preceding the date of this certificate furnished.	ATE OF COMPLIANCE lied with all tax obligations with respect e, as disclosed by assessment made by this	to income accruing up to the office, or proof of exemption
	Collector of Internal Revenue, o	r Revenue Agent in Charge,
Date Date 192 (COPY TO BE	Lat	3 0 0 0
Date (COPY TO BE	RETAINED BY TAXPAYER	2—13943a
INT. HEW YORK	DEPUTY COLLECTOR	, 6

# INSTRUCTIONS

Termination of taxable period.—The Commissioner may, upon satisfactory evidence that a taxpayer designs to depart from the United States, declare the taxable period of such taxpayer immediately terminated and make demand for immediate payment of the tax for the taxable period declared terminated, and of the tax for the preceding year, or so much of such tax as is unpaid.

Payment of tax.—An alien, whether resident or nonresident, who intends to depart from the United States shall appear before the Collector or Revenue Agent in Charge for the district in which he resides and satisfy all income tax obligations with respect to income received up to and including the calendar month next preceding that of his intended departure.

Certificate of compliance.—Upon payment of the tax, or upon satisfactory evidence that no tax is due, the Collector of Internal Revenue or Revenue Agent in Charge will execute in duplicate the "Certificate of Compliance" on Form 1040 C, the duplicate will be retained by the taxpayer and presented at the pier, where it will be stamped "Used" and returned to him.

Return of income.—An alien actually present in the Urited States who is not a mere transient or sojourner is for the purposes of income tax a resident alien, and his taxable income shall include that derived from sources within and without the United States. A nonresident alien individual means an incividual who is neither a citizen nor resident of the United States, and his taxable income shall include that derived in full from sources within the United States and that portion of income derived from sources partly within and partly without the United States which is allocated or apportioned to sources within the United States.

Personal exemption.—A resident alien may claim for the year 1925 and thereafter a personal exemption of \$1,500 if single, or \$3,500 if the head of a family or married and living with husband or wife during the entire taxable period, and in addition \$400 for each person (other than husband or wife) dependent upon and receiving his chief support from the taxpayer if such dependent is under 18 years of age or is incapable of self-support because mentally or physically defective. In case the status of a resident alien changes during the taxable year, the personal exemption allowed a single person, a head of a family, or a married person living with husband or wife, will be prorated according to the period during which he occupied each status. For the year 1925 and thereafter a nonresident alien may claim a personal exemption of \$1,500 whether married, single, or the head of a family, and in addition the credit of \$400 for each dependent if the alien is a resident in Canada or Mexico. In either case, if the taxable period is less than a year the personal exemption and credit for dependents shall be reduced proportionately to the length of the period for which the return is made.

Rate of tax.—For a resident alien the normal tax on the net income in excess of the personal exemption and other credits shall be computed for the year 1925 and thereafter at the rate of 1½ per cent on the first \$4,000, 3 per cent on the second \$4,000, and 5 per cent on the balance of such income. For a nonresident alien the tax on the net income in excess of the credit for dividends and personal exemption shall be computed at 5 per cent, except that if the alien is a resident of Canada or Mexico the normal tax on the net income attributable to compensation for personal services performed in the United States in excess of the personal exemption and credit for dependents shall be taxed at the rate of 1½ per cent on the first \$4,000, 3 per cent on the second \$4,000, and 5 per cent on the balance of such income. In addition to the normal tax a surtax is also imposed on the net income in excess of \$10,000, in which case Form 1040 should be filled in by a resident alien, or Form 1040 B by a nonresident alien, and attached to this form.

Earned income.—In computing the tax there may be claimed a credit of 25 per cent of the tax which would be payable if the earned net income constituted the entire net income. This credit shall not exceed 25 per cent of the normal tax, plus 25 per cent of the surtax on the earned net income. Earned net income may consist of compensation received for personal services, or an amount not in excess of 20 per cent of the net profits derived from a trade or business in which both personal services and capital are income producing factors. If the net income is not over \$5,000 the entire amount shall be considered as earned net income, or if the net income is more than \$5,000 the earned net income shall not be less than \$5,000. In no case shall the earned net income for the year 1925, and thereafter exceed \$20,000.

Penalties.—If a taxpayer violates or attempts to violate Section 285 of the Revenue Act of 1926, the provision under which this return is required, there shall, in addition to other penalties imposed by the Act, be added as part of the tax 25 per cent of the total amount of the tax or deficiency in the tax, together with interest at the rate of 1 per cent a month from the time the tax became due.

## NOTICE OF TERMINATION OF TAXABLE PERIOD AND DEMAND FOR PAYMENT OF TAXES DUE

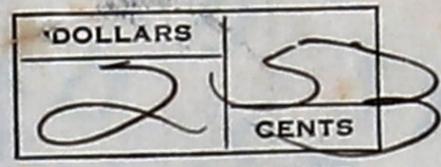
In accordance with the provisions of Section 285 of the Revenue Act of 1926, notice is hereby served on the individual whose name appears on the reverse side of this sheet, that the taxable period of such person is declared terminated at the end of the calendar month last past, and demand is hereby made for the payment of the tax due for the taxable period declared terminated and for the payment of any income taxes due the United States for prior years which have not been paid.

D. H. BLAIR, Commissioner. Received from our. 5. St. Roerich #16465 in cash, with which to pay 1927 Federal, In come Dax. Thelp Josephson

# PHILIP JOSEPHSON CERTIFIED PUBLIC ACCOUNTANT TIMES BUILDING NEW YORK

BRYANT 6616

# 260289 RECEIPT



FOR REMITTER
TO DETACH AND HOLD
MUST BE PRESENTED AT
OFFICE OF ISSUE WHEN INQUIRY IS MADE REGARDING
ORDER

ISSUING OFFICE

STAMP HERE

SENT TO. ADDRESS-HOLD THIS RECEIPT UNTIL SURE THE ORDER HAS

FOR

Form 1040 C U. S. INTERNAL REVENUE	DIVIDUA	AL IN	VCO!	ME TAX RETUR	N Do not	write in this space		
Revised June, 1926				PARTING ALIENS	Serial Number			
Passport	For Taxable Year 192 7					Amount		
Number Tax	able period begun	m/	, 192	and ended VA 19.	Paid, \$	shier's Stamp)		
Port of Sailing	PRINT	NAME A	ND ADDRI	ESS PLAINLY BELOW	(Ca	snier s Stamp)		
Name of Steamer NA								
Date of Sailing STI	REET 3/1 A	YYE	=ASS	DE DRIVE				
Country of Destination CIT	TY	X	Sn.	PATE	Examined	ck Cash M.O.		
Total Number of Persons OCC	CUPATION			Rate of Pay Per Day, \$	Ву			
1. Of what country are you a citizen or subject?  4. If you filed an income tax re Year Net Income	esec	you at the Ur ed State		tes 7/0 no Ui	you a resident nresident of t nited States? e past three y turn was filed	the Alex		
192								
						-		
192				COMPUTATION OF	TAX			
INCOME  1. Salaries, wages, etc. (State n	some of amployer)	B		11. Earned net income		\$		
(a)				12. Less: Personal exemption				
				13. Credit for dependen				
(b)				14. Balance (Item 11 minus 1				
Z. To, rest received on bank de		***		15. Tax on earned net income				
3. Dividends from domestic co								
4. Other income. (State kind of in				16. Credit of 25% of Item 15				
(a)				17. Net income (Item 10)	A	Φ.Σ.		
5. Total Income in I		\$		18. Less: Dividends (Item 3)		375		
DEDUCTIONS		9		19. Personal exemption	101			
6. Interest paid on borrowed r				20. Credit for depende		225		
7. Taxes paid (except Federal				21. Balance (Item 17 minus		7 37		
S. Other deductions. (Explain b				22. Normal tax on Item 21.	V	\$ 3		
(a)				23. Less. Earned income or not over 25% of	tem 22)	5,		
(b)				24. Income tax paid at source	111			
9. Total Deductions in	N ITEMS 6 TO 8	\$		25. Balance of tax (Item 22	minus Items 2	3 2 5		
10. Net Income (Item 5	minus Item 9)	\$		and 24)				
I swear (or affirm) that t complete return for the taxab thereof.	ore period as state	ea, pursu	iant to ti	and to the heat of my kr	nowledge and legulations issu	oelief, is a true and led under authority		
Sworn to and subscribed					VII	28 ALA		
Sworn to and sabscribed	before me tims				(Signature of tax)	ayer or agent)		
(Signature of officer ac	dministering oath)	V	,(T	itle)	(Address o	f agent)		
This certifies that the ab end of the month just preceding		-	ICATE O	F COMPLIANCE th all tax obligations with respect to the second by assessment made by	pect to income this office, or	accruing up to the proof of exemption		
Turmsned.	JUNNIE 19 P		1	Collector of Internal Reven	A 10	AL A		
Date	, 195	2	inte	By	Mas	2—13943a		
In. MEM	(CO	PPY TO	BE RETA	AINED BY TAXPAYER)		- Common of the		
				DEPUTY CO	LLECTOR '	6		

# INSTRUCTIONS

Termination of taxable period.—The Commissioner may, upon satisfactory evidence that a taxpayer designs to depend from the United States, declare the taxable period of such taxpayer immediately terminated and make demand for immediately payment of the tax for the taxable period declared terminated, and of the tax for the preceding year, or so much of such tax as unpaid.

Payment of tax.—An alien, whether resident or nonresident, who intends to depart from the United States shall appear before the Collector or Revenue Agent in Charge for the district in which he resides and satisfy all income tax obligations with respect to income received up to and including the calendar month next preceding that of his intended departure.

Certificate of compliance.—Upon payment of the tax, or upon satisfactory evidence that no tax is due, the Collector of Internal Revenue or Revenue Agent in Charge will execute in duplicate the "Certificate of Compliance" on Form 1040 C, the duplicate will be retained by the taxpayer and presented at the pier, where it will be stamped "Used" and returned to him.

Return of income.—An alien actually present in the United States who is not a mere transient or sojourner is for the purposes of income tax a resident alien, and his taxable income shall include that derived from sources within and without the United States. A nonresident alien individual means an individual who is neither a citizen nor resident of the United States, and his taxable income shall include that derived in full from sources within the United States and that portion of income derived from sources partly within and partly without the United States which is allocated or apportioned to sources within the United States.

Personal exemption.—A resident alien may claim for the year 1925 and thereafter a personal exemption of \$1,500 if single, or \$3,500 if the head of a family or married and living with husband or wife during the entire taxable period, and in addition \$400 for each person (other than husband or wife) dependent upon and receiving his chief support from the taxpayer if such dependent is under 18 years of age or is incapable of self-support because mentally or physically defective. In case the status of a resident alien changes during the taxable year, the personal exemption allowed a single person, a head of a family, or a married person living with husband or wife, will be prorated according to the period during which he occupied each status. For the year 1925 and thereafter a nonresident alien may claim a personal exemption of \$1,500 whether married, single, or the head of a family, and in addition the credit of \$400 for each dependent if the alien is a resident in Canada or Mexico. In either case, if the taxable period is less than a year the personal exemption and credit for dependents shall be reduced proportionately to the length of the period for which the return is made.

Rate of tax.—For a resident alien the normal tax on the net income in excess of the personal exemption and other credits shall be computed for the year 1925 and thereafter at the rate of 1½ per cent on the first \$4,000, 3 per cent on the second \$4,000, and 5 per cent on the balance of such income. For a nonresident alien the tax on the net income in excess of the credit for the normal tax on the net income attributable to compensation for personal services performed in the United States in excess of the personal exemption and credit for dependents shall be taxed at the rate of 1½ per cent on the first \$4,000, 3 per cent on the second \$4,000, and 5 per cent on the balance of such income. In addition to the normal tax a surtax is also imposed on the net income in excess of \$10,000, in which case Form 1040 should be filled in by a resident alien, or Form 1040 B by a nonresident alien, and attached to this form.

Earned income.—In computing the tax there may be claimed a credit of 25 per cent of the tax which would be payable if the earned net income constituted the entire net income. This credit shall not exceed 25 per cent of the normal tax, plus 25 per cent of the surtax on the earned net income. Earned net income may consist of compensation received for personal services, or an amount not in excess of 20 per cent of the net profits derived from a trade or business in which both personal services and capital are income producing factors. If the net income is not over \$5,000 the entire amount shall be considered as earned net income, or if the net income is more than \$5,000 the earned net income shall not be less than \$5,000. In no case shall the earned net income for the year 1925, and thereafter exceed \$20,000.

Penalties.—If a taxpayer violates or attempts to violate Section 285 of the Revenue Act of 1926, the provision under which this return is required, there shall, in addition to other penalties imposed by the Act, be added as part of the tax 25 per cent of the total amount of the tax or deficiency in the tax, together with interest at the rate of 1 per cent a month from the time the tax became due.

# NOTICE OF TERMINATION OF TAXABLE PERIOD AND DEMAND FOR PAYMENT OF TAXES DUE

In accordance with the provisions of Section 285 of the Revenue Act of 1926, notice is hereby served on the individual whose name appears on the reverse side of this sheet, that the taxable period of such person is declared terminated at the end of the calendar month last past, and demand is hereby made for the payment of the tax due for the taxable period declared terminated and for the payment of any income taxes due the United States for prior years which have not been paid.

D. H. BLAIR, Commissioner.

# HARRY WEINBERG & COMPANY TIMES BUILDING, NEW YORK PHONE 6616 BRYANT

STENECK TRUST BLDG. KOBOKEN, N. J. HOBOKEN-998

ASSOCIATES-AMERICAN INSTITUTE OF ACCOUNTANTS
MEMBERS-AMERICAN SOCIETY OF CERTIFIED PUBLIC ACCOUNTANTS

March 29, 1928.

Mr. N. S. Roerich, Ritz Towers, Park Avenue & 57th Street, New York City.

Professional Services Rendered:

Preparation of Federal Income Tax Return for Year 1927; Preparation of 1928 Federal Income Tax Return to be made on departure from Country. OCUP TRUNC PORMATE

A M DEMONDARY TO THE TENTON OF T

# HARRY WEIGHNER & COMPANY

HELD WILLDING NEW YORK

WANTED THE SHIPSON

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THE RESIDENCE AND A STREET

Name and Address

# Guaranty Trust Company of New York 1-107 Fifth Avenue Office

Nº 1	New York April 26	1928.
Pay to the order of lary	New York April 26	
one hun dies	1-dollars-only-	_Dollars
\$ 100 no	5. Roerie	4.

THROUGH NEW YOU TEARING HOUSE

SEABOARD NATIONAL BANK NO.85. STABON NATIONAL PAY WEINBERG & A State of the Sta THANKOS ISSUE A STATE OF THE SECTION OF THE SECTIO



# TREASURY DEPARTMENT

MAY 2.5 100

# WASHINGTON

OFFICE OF
COMMISSIONER OF INTERNAL REVENUE

The Bureau of Internal Revenue is pleased to inform you that your income tax return for the year 1927 has been examined and is considered to be correct as submitted.

I am sure you will appreciate that should subsequent information be received which would materially change the amount reported, the Bureau is obliged under existing laws to redetermine your tax liability.

Respectfully,

Commissioner.

897A (1927)
TREASURY DEPARTMENT
INTERNAL REVENUE BUREAU

# TREASURY DEPARTMENT

OFFICE OF COMMISSIONER OF INTERNAL REVENUE

WASHINGTON, D. C.

OFFICIAL BUSINESS

PENALTY FOR PRIVATE USE TO AVOID PAYMENT OF POSTAGE, \$300

S.n. Roenich

MO ST Rimerside venime

Mo myorke my

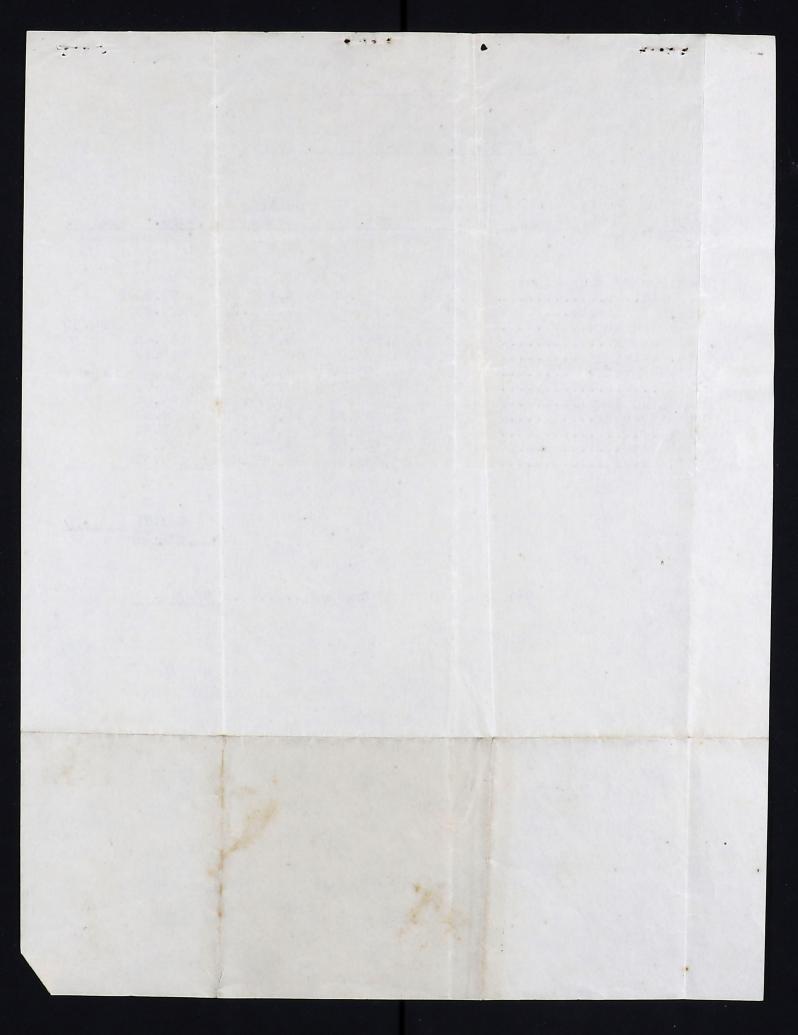
Form 1-Revised Feb., 1926 RECEIPT FOR PAYMENT OF TAXES TREASURY DEPARTMENT INTERNAL REVENUE SERVICE ORIGINAL Collector's Office \_\_\_\_\_, District of (Description of collection: tex; penalty: interest; or offer in compromise; etc.) (NAME AND ADDRESS OF TAXP (Period covered) Received payment,



# SCHEDULE OF STOCK TRADING JANUARY 1, 1928 - JUNE 13, 1928

Security	Shares Sold	Cost.	Selling Price	Loss.	Profit.
American Writing Paper Pfd	100 100 400 100 1300 100 100 100 100 100	\$ 4,615.00 1,250.00 76,450.00 5,667.50 146,675.00 9,145.00 20,386.25 3,302.50 11,025.00 695.00 2,590.00 15,000.00	\$ 4,156.00 1,121.00 76,633.50 5,482.10 146,261.80 9,229.00 19,243.50 3,193.50 10,971.00 663.50 2,212.10 14,871.00	129.00 185.40 413.20	\$ 183.50 84.00
				3,030.75 267.50	\$267.50

Net Loss on Stock Trading..... \$2,763.25



Form 1040 C U. S. INTERNAL REVENUE Revised June, 1926		ICOME TAX RETURN	Do not write in this space
Passport		BY DEPARTING ALIENS	Serial 32A - 3355
Number		exable Year 192 8	Amount
Port of Sailing Ov. 4		I, 192, and ended May 3/, 1925	Paid, \$
Name of Murataman	AME S. Nucho	las Roerich	
D-te of June 13/28 ST	TREET 3/2 R	mersicle Drive	
	h-y-	STATE Dry	Check Cash M.O.
Total Number of Persons	CCUPATION CALL	Rate of Pay Per Day, \$	Examined By
are you a citizen / w	2. Date on w you arrithe United States	ived in nonreg	a a resident or esident of the Resident of the Resident of the
Net Income	return in the United States, Tax Paid	give the following information for the pa	ast three years:
1925 \$	\$	not in u. S. S.	
1924	77.63	2 md deing of 43	10 1
192.7	16718	3 id months	1928
INCOME	1	COMPUTATION OF TA	X ty
1. Salaries, wages, etc. (State no		11. Earned net income	\$
(a)(b)			
(b)		13. Credit for dependents	
2. Interest received on bank de		14. Balance (Item 11 minus 12 an	
3. Dividends from domestic cor		15. Tax on earned net income	
4. Other income. (State kind of income.  (a) Sale T Pour	come) 750 O	16. Credit of 25% of Item 15	1 1 1 4 4 6m /m
5. Total Income in Its	TEMS 1 TO 4 \$ 70	17. Net income (Item 10)	
DEDUCTIONS		19. Personal exemption	, , , ,
6. Interest paid on borrowed m		20. Credit for dependents	
7. Taxes paid (except Federal in		21. Balance (Item 17 minus 18, 19	entitle .
8. Other deductions. (Explain beld		22. Normal tax on Item 21	s 57 54
	2763	23. Less: Earned income credit	(Item 16, 7)
9. Total Deductions in 1	1 1029	not over 25% of Item  25 24. Income tax paid at source	22)
10. Net Income (Item 5 mi	The state of the s	25. Balance of tax (Item 22 minus	s Items 23
Theome (Hem 5 mi	Al	and 24)FFIDAVIT	*
I swear (or affirm) that this complete return for the taxable thereof.	is return has been exemined	d by me, and, to the best of my knowled to the Revenue Act of 1926 and regulat	ge and belief, is a true and
		reason therefor must be stated on this line)	
Sworn to and subscribed be	efore me this day of		ture of taxpayer or agent)
(Signature of officer admi	inistering oath)	(Title)	(Address of agent)
This certifies that the above	e-named norgan has some !!	TE OF COMPLIANCE	0.198 693
end of the month just preceding furnished.	the date of this certificate, $\epsilon$	ed with all tax obligations with respect to as disclosed by assessment made by this o	income accruing up to the
A		Collector of Internal Revenue, o	Perenas Hyenta Chings,
Date	7, 192 8 (COPY TO BE B	Ву	
	(COPT TO BE A	RETAINED BY TAXPAYER)	2—13943a

# INSTRUCTIONS

Termination of taxable period.—The Commissioner may, upon satisfactory evidence that a taxpayer designs to depart from the United States, declare the taxable period of such taxpayer immediately terminated and make demand for immediate payment of the tax for the taxable period declared terminated, and of the tax for the preceding year, or so much of such tax as is unpaid.

Payment of tax.—An alien, whether resident or nonresident, who intends to depart from the United States shall appear before the Collector or Revenue Agent in Charge for the district in which he resides and satisfy all income tax obligations with respect to income received up to and including the calendar month next preceding that of his intended departure.

Certificate of compliance.—Upon payment of the tax, or upon satisfactory evidence that no tax is due, the Collector of Internal Revenue or Revenue Agent in Charge will execute in duplicate the "Certificate of Compliance" on Form 1040 C, the duplicate will be retained by the taxpayer and presented at the pier, where it will be stamped "Used" and returned to him.

Return of income.—An alien actually present in the United States who is not a mere transient or sojourner is for the purposes of income tax a resident alien, and his taxable income shall include that derived from sources within and without the United States. A nonresident alien individual means an individual who is neither a citizen nor resident of the United States, and his taxable income shall include that derived in full from sources within the United States and that portion of income derived from sources partly within and partly without the United States which is allocated or apportioned to sources within the United States.

Personal exemption.—A resident alien may claim for the year 1925 and thereafter a personal exemption of \$1,500 if single, or \$3,500 if the head of a family or married and living with husband or wife during the entire taxable period, and in addition \$400 for each person (other than husband or wife) dependent upon and receiving his chief support from the taxpayer if such dependent is under 18 years of age or is incapable of self-support because mentally or physically defective. In case the status of a resident alien changes during the taxable year, the personal exemption allowed a single person, a head of a family, or a married person living with husband or wife, will be prorated according to the period during which he occupied each status. For the year 1925 and thereafter a nonresident alien may claim a personal exemption of \$1,500 whether married, single, or the head of a family, and in addition the credit of \$400 for each dependent if the alien is a resident in Canada or Mexico. In either case, if the taxable period is less than a year the personal exemption and credit for dependents shall be reduced proportionately to the length of the period for which the return is made.

Rate of tax.—For a resident alien the normal tax on the net income in excess of the personal exemption and other credits shall be computed for the year 1925 and thereafter at the rate of 1½ per cent on the first \$4,000, 3 per cent on the second \$4,000, and 5 per cent on the balance of such income. For a nonresident alien the tax on the net income in excess of the credit for dividends and personal exemption shall be computed at 5 per cent, except that if the alien is a resident of Canada or Mexico the normal tax on the net income attributable to compensation for personal services performed in the United States in excess of the personal exemption and credit for dependents shall be taxed at the rate of 1½ per cent on the first \$4,000, 3 per cent on the second \$4,000, and 5 per cent on the balance of such income. In addition to the normal tax a surtax is also imposed on the net income in excess of \$10,000, in which case Form 1040 should be filled in by a resident alien, or Form 1040 B by a nonresident alien, and attached to this form.

Earned income.—In computing the tax there may be claimed a credit of 25 per cent of the tax which would be payable if the earned net income constituted the entire net income. This credit shall not exceed 25 per cent of the normal tax, plus 25 per cent of the surtax on the earned net income. Earned net income may consist of compensation received for personal services, or an amount not in excess of 20 per cent of the net profits derived from a trade or business in which both personal services and capital are income producing factors. If the net income is not over \$5,000 the entire amount shall be considered as earned net income, or if the net income is more than \$5,000 the earned net income shall not be less than \$5,000. In no case shall the earned net income for the year 1925, and thereafter exceed \$20,000.

Penalties.—If a taxpayer violates or attempts to violate Section 285 of the Revenue Act of 1926, the provision under which this return is required, there shall, in addition to other penalties imposed by the Act, be added as part of the tax 25 per cent of the total amount of the tax or deficiency in the tax, together with interest at the rate of 1 per cent a month from the time the tax became due.

# NOTICE OF TERMINATION OF TAXABLE PERIOD AND DEMAND FOR PAYMENT OF TAXES DUE

In accordance with the provisions of Section 285 of the Revenue Act of 1926, notice is hereby served on the individual whose name appears on the reverse side of this sheet, that the taxable period of such person is declared terminated at the end of the calendar month last past, and demand is hereby made for the payment of the tax due for the taxable period declared terminated and for the payment of any income taxes due the United States for prior years which have not been paid.

D. H. BLAIR, Commissioner.

15

**FORM NO. 143** 

District Court of the United States
Southern District of New York
U. S. Court House and Post Office Building
New York City

NATURALIZATION BUREAU ROMME. Sviatoslav Roeri	ом 550 ioli
Petition filed LOCC 19	
Vol. No. 503  At 9.30 A. M. Room Page	62463



ORIGINAL . (To be given to person paying fee)

U. S. DEPARTMENT OF LABOR NATURALIZATION SERVICE

DISTRICT DIRECTOR OF NATURALIZATION

132 Nassau Street, New York, N. Y. Dec. 4, 192

This is to acknowledge receipt of money order in the sum of Five Dollars (\$5), accompanied by application for a certificate of your arrival in the United States.

Sviatoslav Roerich 310 Riverside Dr. NYCity If you find it necessary to make inquiry about your application be sure to give the number appearing in the upper right-hand corner hereof if you write, or bring this receipt with you.

DISTRICT DIRECTOR OF NATURALIZATION

Form 20-F

14-2611

DO NOT LOSE, DESTROY, OR GIVE THIS RECEIPT TO ANYBODY

Ву \_\_\_\_

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Act Total N. I.

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Moisson valuation all siversis of

Form 201. Page 1 of Return

8-13-29-1.500.000 (3-1400)

This return may be used by any resident individual. Nonresidents should use Form 203.

ATTACH REMITTANCE HERE

### TAX NEW YORK STATE INCOME RESIDENT RETURN

For the Calendar Year 1929 or Fiscal Period

Amount Paid

CASHIER'S STAMP

begun	No.
and ended 1929	**************************************
Do not write in these two spaces	Control London Yought
PRINT NAME AND RESIDENCE ADDRESS PLAINLY BELOW S. NICHOLAS ROERICH	( Description
(First name in full—middle initials—last name in full) RESIDENCE ADDRESS 310 RIVERSIDE DRIVE	
(No.) (Street or agenue or rural route) NEW YORK N.Y.	A works when he a
(City, Village, Post office and State)	

FILE AT OFFICE OF THE NEW YORK STATE INCOME TAX BUREAU, 42 NORTH PEARL ST., ALBANY, N. Y., OR AT ANY DISTRICT OFFICE ON OR BEFORE APRIL 15, 1930 READ INSTRUCTIONS CAREFULLY BEFORE PREPARING YOUR RETURN

2.	ANSWER QUES  Did you file a N. Y. State return for 1927? No 1928? No  If so, give any address other than that above used on such return  If no return for 1928 was filed, state reasons Non-Resident  No Income in New York  Is this a joint return of the income of husband and wife?  If not, and your wife (or husband) filed a separate return, give name and address on such return	rns t_ me	6. Were of y 7. If no a fa 8. If yo year 9. How eigh rece taxe 10. What	you it four ta t, were mily' ur state, state, many iving able you is the port you	as de us wie the depe vears their ear?	and living with wife (or hush year?  on the last day of your taxable fined in Instruction D?  th respect to Questions 6 and 7 date of such change.  dent persons (other than hush of age, or mentally or physic chief support from you on the ationship to you of the dependent exemption under Questions 7	changed or we cally defect the last day	during vife) u ctive, y of for w	ad of g the inder were your
	NON-TAX	XAB	LE INCOM	ИE					
	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	ATI		~**			\$ \$ \$ \$	91	
	CAECOL	AII	Amount of It	ncome		Rate of Tax	Amoun	4 . 6 T.	
14.	Net income as shown by Item 35 (Page 2 of Return) 919, 217	55	Rate	10,722.0		ASSEMBLE OF THE PARTY OF THE PA		1	
		00	6717		18.	1% on first \$10,000 of Item 16. 2% on next \$40,000 of Item 16. 3% on amount over \$50,000 of Item 16.	-1	34	35
16.	Balance Subject to Tax (Item 14 minus Item 15) \$16.717	55	16717	55	20.	Total	82	34	35
AF.	E TAX MUST BE PAID IN FULL ON FILING RETURN— (DO NOT SEND CURRENCY BY MAIL. POSTAGE S  FIDAVIT  I swear (or affirm) that to the best of my knowledge and belief, the stements (if any) are true, and that this return is a true and complete fits received by or accrued to me (or the person for whom this return is a true and complete in are allowable under the law and regulations.	state	ments conta	ined in	this	FRED IN PAYMENT OF TAX)  streturn, including the accompath the law and regulations, of a	s: nying schee	dules gains	and and

(Signature of officer administering oath)

Sworn to and subscribed before me this.

(Title)

day of.

(Address of agent)

(Signature of individual or agent)

# Form 201-Page 2 of Return

19, 217 5

RETURN OF TAXABLE INCOME 21. INCOME FROM PERSONAL SERVICES (Salaries, Wages, Fees, Commissions, Bonuses, etc. (b) NAME AND ADDRESS OF EMPLOYER AMOUNT (a) OCCUPATION OR TRADE Show the gross amount received (or accrued); if deductions therefrom are claimed, report them at Item 31. Do not include compensation paid by the United States See Instruction 21. 22. INCOME FROM INTEREST Report interest received (or accrued) and collectible Interest (bonds or other corporate obligations; bank deposits, mortgages Include interest on municipal or state bonds other than municipal or state bonds of the State of New York, notes, etc.) Exclude exempt interest (See Instruction E.) 23. INCOME FROM DIVIDENDS 1.263 30 (a) Received in cash..... Explain valuation of dividends received other than (b) Received in property (other than true stock dividends)..... See Instruction 22 24. INCOME FROM PARTNERSHIPS, ESTATES AND TRUSTS Give name and address of partnership, estate or trust. If estate or trust, give also name and address of fiduciary. Report your entire share (whether distributed or not of the profits of the partnership (including salary and interest) or of the income of the selate or trust, not merely the amount withdrawn by or paid to you. 25. INCOME FROM BUSINESS OR PROFESSION (Including Farming) See Instruction 25 Enter here your net income from business or profes-sion, Hem 23 of Schedule 4. Net income (or loss) from farming operations as computed on Birm Schedule, Form 207, or other schedule used in Heu thereof, should be entered here, and such schedule attacked to the return. Net income from business or profession as shown on Schedule A, or on farm 26. INCOME FROM RENTS (See Instruction 26) (c) REPAIRS. OTHER EXPENSES (b) GROSS AMOUNT (a) EIND OF PROPERTY AND LOCATION AND DEPLETION (d) TAXES OF RENTS items in Schedule B) Schedule C) (f) NET INCOME FROM RENTS (Column b, less total of columns c, d and e). If net loss, 27. PROFIT (OR LOSS) FROM SALE OR EXCHANGE OF STOCKS OR BONDS (Not dealt in as a business and not included in Item 25). See Instruction 27 20.660 30 First fill out Schedule E; then enter here the amount (If a loss enter in red ink and subtract) 28. PROFIT (OR LOSS) FROM SALE OR EXCHANGE OF LANDS, BUILDINGS AND OTHER PROPERTY (Not dealt in as a business and not included in Item 25 or Item 27). See Instruction 28 (If a loss, enter in red ink and subtract) 29. OTHER INCOME (Describe each source separately and in full) Do not report evenut (noome here or income fro sources described in Items 27-28, inclusive, TOTAL INCOME FROM ABOVE SOURCES (Items 21 to 29 inclusive) ...... \$ 21,923 60 31. DEDUCTIONS (b) Taxes on real property (except assessments for local benefits) not deducted in Schedule A or Item 26 (d) (c) Other taxes (except income taxes). State character and amount of each..... OTHER DEDUCTIONS (Describe each separately and in full) (d). 32 TOTAL DEDUCTIONS (except contributions)..... 2.706 BALANCE (Item 30 minus Item 32)..... 33.

CONTRIBUTIONS (See Instruction 34). These must not exceed 15% of Item 33. Submit details on Schedule D, page 3

NET INCOME SUBJECT TO TAX (Item 33 minus Item 34) Enter on page 1, Item 14.....

34.

### Form 201-Page 3 of Return SCHEDULE A

Income from farming may be detailed on Farm Schedule, Form 207, or other separate farm schedule to be attached to this return. (See Instruction 25)

Income from Business or Profession (Item 25 of Return) 1. (a) Kind of business. ...(b) Business address (c) Date when the business was begun. 2. State whether cash or accrual basis is used. 3. If inventories are used, state if (a) at cost or (b) at cost or market, whichever is lower ... books are kept, giving no less details than called for herein, and enter the net income (or loss) below 4. Total Sales and Income from Business or Professional Services. COST OF GOODS SOLD OTHER BUSINESS DEDUCTIONS 13. Salary withdrawn by taxpayer or paid to wife or minor children (Report as 5. Labor..... income at Item 21, page 2) . . . . . . . . . 13a. Other salaries and wages not reported under "Cost of Goods Sold" 6. Materials and supplies..... 14. Rent for business property. 15. Interest on business indebtedness to others..... 16. Taxes on business and busines 8. Other costs..... property..... 17. Repairs, depreciation and depletion 9. Plus inventory at beginning of year. (explain in Schedule B - below 18. Losses not compensated by insurance (explain in Schedule C-below) 10. Total ..... 19. Bad debts (explain in Schedule C-20. Other expenses (explain principal Items in 11. Less inventory at end of year ..... Schedule C-below)..... 12. Cost of Goods Sold (Item 10 minus 21. TOTAL OTHER BUSINESS DEDUCTIONS Item 11)..... 22. Cost of Goods Sold Plus Total Other Business Deductions (Item 12 plus Item 21)..... 23. NET INCOME FROM BUSINESS OR PROFESSION (Item 4 minus Item 22) Enter at Item 25, page 2. Note: If included in Items 5, 18a or 14 above are payments to any individual for salaries, wages, rent, or other fixed or determinable income of \$2,500 or coer, if single, or \$4,000 or more if married and living with wife (or husband), return of information on forms 105 and 108 should be filed. SCHEDULE B Details of deductions for repairs, depreciation and depletion at Item 17 of Schedule A, and Item 26 (c) on page 2 of return. If the property was acquired after January 1, 1919, base depreciation on the cost thereof. If acquired prior to January 1, 1919, show both original cost and the fair market value as of that date and base depreciation on the January 1, 1919 value. DEPRECIATION AND DEPLETION CHARGED OFF VALUE ON (exclusive of land) JANUARY 1, 1919 REPAIRS KIND OF PROPERTY NOT INCLUDED REFER YEAR AMOUNT FOR Principal material of which constructed TO ITEM IN AC-IF ACQUIRED PRIOR TO JANUARY TAXABLE YEAR RATE AND LOCATION NO QUIRED DIDCHAGE 1929 1. 1919, STATE BOTH DEDUCTIONS TOTALS..... SCHEDULE C Details of deductions claimed at Items 18, 19 and 20 of Schedule A, and Item 26 (e) on page 2 of return. REFER TO AMOUNT AMOUNT EXPLANATION BEFER TO EXPLANATION TTPM NO ITEM NO

etails of contributions claimed as deduction	SCHEDU.	LE D deduction must not exceed 15% of the a	mount of Item 33
1. NAME AND ADDRESS OF ORGANIZATION	2.	3.  NAME AND ADDRESS OF ORGANIZATION	4.
NAME AND ADDRESS OF	S		- 8 -

# SCHEDULE E

PROFIT (OR LOSS) FROM SALE OR EXCHANGE OF STOCKS AND BONDS. Item 27, page 2 of return. See page 2 of Instructions.

All securities acquired on or after January 1, 1919 and sold during the taxable year may be combined and the total cost entered in column 4, the total sales price in column 6, and the total profit or loss in column 7 or column 8. All the information with respect to each security acquired prior to January 1, 1919, and sold during the taxable year must be given in detail. With respect to the latter class, gain or loss, if any, must be computed on each transaction, by subtracting from the sales price (column 6) the cost (column 4) or fair market value as of January 1, 1919 (column 5) whichever is higher, in the case of a gain, or, whichever is lower, in the case of a loss. If either the cost or fair market value as of January 1, 1919 is higher than the sales price, no taxable profit will result; if either is lower, no loss may be deducted. In the latter cases, you should enter "none" in column 7 or column 8 opposite the items so affected. If the transactions are too numerous to enter below, a separate schedule in the same form may be submitted.

PROFIT (OR LOSS) FROM SALE OR EXCHANGE OF LANDS, BUILDINGS, AND OTHER PROPERTY. Item 28, page 2 of return. See page 2 of Instructions.  If more than one sale or exchange was effected during the year, submit separate statements in similar form for each sale and enter net profit or loss at Item 28, page 2 of return. In the case of property acquired prior to January 1, 1919, give only the information called for incolumn 1.  Where the property sold was owned jointly or in common with others, at taken as takenent be neared and addresses of such a statement of the property and and addresses of such which others at taken as a statement to relation called for incolumn 1.  Where the property sold was owned jointly or in common with others, at taken as takenent be neared and addresses of such where the property sold was owned jointly or in common with others, at taken as takenent be represented in the neare and addresses of such where the property sold was owned jointly or in common with others, at taken as takenent be represented by a page and addresses of such where the property sold was owned jointly or in common with others, at taken as takenent be represented by a page and addresses of such where the property sold was owned jointly or in common with others, at taken as takenent be represented by the neares and addresses of such where the property sold was owned jointly or in common with others, at taken as takenent between the property sold was owned jointly or in common with others, at taken as takenent between the property sold was owned jointly or in common with others, at taken as takenent between the property and addresses of such where the property sold was owned jointly or in common with others, at taken as takenent between the property sold was owned jointly or in common with others, at taken as takenent between the property and addresses of such where the property sold was owned jointly or in common with others.	COLUMN 1	соь. 2	cor. 3	COLUMN	4	COLUMN	5	COLUMN 6	COLUMN 7	COLUM	N 8	
NOR HOURS    ANOTHER   SANOTHER   SANOTHER   SANOTHER   SEE INSTRUCTIONS ABOVE	TITLE OF SECURITY			COST		Colored Charles Colored Colore			PROFIT	LOS	LOSS	
Cotals.  Solution of columns 7 and 8 from the higher.  Solution of loss (difference between column 7 and column 8) to be reported at Item 27, page 2 of return.  Solution of loss (difference between column 7 and column 8) to be reported at Item 27, page 2 of return.  Solution of loss (difference between column 7 and column 8) to be reported at Item 27, page 2 of return.  Solution of loss (difference between column 7 and column 8) to be reported at Item 27, page 2 of return.  Solution of loss (difference between column 7 and column 8) to be reported at Item 27, page 2 of return.  Solution of loss and class or rights were used to adjust cost or January 1, 1919 value.  A separate schedule should be submitted showing (a) name of corporation which declared stock dividend or issued rights; (b) date of declaration (c) number of shares and class of stock held prior thereto; (d) number of new shares acquired thereby.)  SCHEDULE F  PROFIT (OR LOSS) FROM SALE OR EXCHANGE OF LANDS, BUILDINGS, AND OTHER PROPERTY. Item 28, page 2 of lost or loss at Item 28, page 2 of return. In the case of property acquired prior to January 1, 1919, give all the information called for by both column 1 and column 2; if acquired subsequent to January 1, 1919, give only the information called for in column 1.  Where the property sold was owned jointly or in common with others, attach a statement bereto giving the pages and addresses of make the property sold was owned jointly or in common with others, attach a statement bereto giving the pages and addresses of make the property sold was owned jointly or in common with others, attach a statement bereto giving the pages and addresses of make the property sold was owned jointly or in common with others, attach a statement bereto giving the pages and addresses of make the property sold was owned jointly or in common with others, attach a statement bereto giving the pages and addresses of make the property sold was owned jointly or in common with others, attach a statement bereto giving the pages and			ACQUIRED					BALLES PRICE	The state of the s		SEE INSTRUC- TIONS ABOVE	
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SCHEDULE F  PROFIT (OR LOSS) FROM SALE OR EXCHANGE OF LANDS, BUILDINGS, AND OTHER PROPERTY. Item 28, page 2 of eturn. See page 2 of Instructions.  If more than one sale or exchange was effected during the year, submit separate statements in similar form for each sale and enter net profit or loss at Item 28, page 2 of return. In the case of property acquired prior to January 1, 1919, give all the information called for by both column 1 and column 2; if acquired subsequent to January 1, 1919, give only the information called for in column 1.  Where the property sold was owned jointly or in common with others, attach a statement hereto giving the pages and addresses of such						8 1 2 2			-		-	
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										d		
(1) Kind of property	COLUMN 1		en A ciul						φ.			
(4) Sale price (or fair market value of property received in exchange)	<ul> <li>(5) Original purchase price</li> <li>(6) Add permanent improvement since purchase</li> </ul>	e   \$	(10) Exchange value January 1 1919									
(4) Sale price (or fair market value of property received in exchange)  COLUMN 1  COLUMN 2  (5) Original purchase price	(7) Total (8) Deduct depreciation since pur		(13)	Deduct depre	ciatio	n since Janr	arv 1	. 1919				
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Note: If Item 4 is neither higher nor lower than both Items 9 and 14, enter "none" and report no gain or loss at Item 16.

(16) The gain or loss (difference between Items 4 and 15) to be reported at Item 28, page 2.....

This Space for Office Use Only

Audited By

FORM 1—Revised Feb., 1926 TREASURY DEPARTMENT INTERNAL REVENUE SERVICE

# RECEIPT FOR PAYMENT OF TAXES

Collector's Offi	ce, District of	1 /4	(Class of tax)	129
at	Date		(Description of co	llection; tax; penalty;
	(NAME AND ADDRESS OF TAXPAYER)	7	nterest; or offer in compromise; etc.)	
1	Vicholas 12	serich	BEOFE NO.	
KI.		. 0 8-	rette 8 1930	50
	310 River	sede	(Period covered)  Amount, \$_	622
	n	y.C.	Received payment,	
GOVERNMENT PRINTING OFFICE	2—2702a		Collector of	Internal Revenue

RECEIPT FOR PAYMENT OF TAXABLE

FORM 1040
TREASURY DEPARTMENT
INTERNAL REVENUE SERVICE

DETACH AND RETAIN

THIS COPY AND

THE INSTRUCTIONS

DUPLICATE

INDIVIDUAL INCOME TAX RETURN FOR NET INCOMES FROM SALARIES OR WAGES OF MORE THAN \$5,000

# AND INCOMES FROM BUSINESS, PROFESSION, RENTS, OR SALE OF PROPERTY For Calendar Year 1929

File This Return With the Collector of Internal Revenue for Your District on or Before March 15, 1930

PRINT NAME AND ADDRESS PLAINLY BELOW

S. NICHOLAS ROERICH

310 RIVERSIDE DRIVE

(Street and number, or rural route) NEW YORK N.Y.

N.Y. (Post office) (State)

Occupation.

IF YOU NEED ASSISTANCE, GO TO A DEPUTY COLLECTOR OR TO THE COLLECTOR'S OFFICE

П	Are you a citizen or resident		Yes	Word was reasoni		1 11 1						-	
	of the United States?			or wife on the	a last c	av of v	Our toy	abla wa	ar?		No		
2.	If you filed a return for 1928, to what Collector's office was it sent?			). II not, were von	on th	e last d	av of m	aur tor	oblo r	TOOR GUNN	portin	g	
:3.	Is this a joint return			m your nouse	пота о	ne or m	ore pers	sons clo	sely 1	related to	o you'i		
.4	of husband and wife?			during the ye	ar, sta	te date	and na	ture of	chan	ige			
-	separate return was made and the			18 years of ag	endent e or in	capable	of self-	than hi	ısban t were	d or wife	und	er	
=	Collector's office where it was sent			during the ye during the ye How many depe 18 years of ag chief support	from y	oû on t	he last	day of	your	taxable	year?		
IInstr	em and nettien No.		Amon										
1.	Salaries, Wages, Commissions, etc. (State	name ar	d address of employer)	ent received Expe	in Schedu	lo F)							
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2.	Income from Business or Profession. (Fro	m Sche	lule A)				15	W .		W.	-		
:3.	Interest on Bank Deposits, Notes, Corpora	tion I	onds, etc. (except interest on	tax-free covenant l	honds)	1	Ti.	2 6	3 6	2	A		
					DOIIGS)	1	0 3	Ga	1	22	7		1
	Interest on Tax-free Covenant Bonds Upon					f-6	1	- 49	50	Frield			
15.	Income from Partnerships. (State name and	address	)			of the	- 240	3	12	3			
						-	9	F	1	0/			
6.	Income from Fiduciaries. (State name and ad-	Press)							1	1			
		,			, )						**		
									2				
17.	Rents and Royalties. (From Schedule B)												
8.	Profit from Sale of Real Estate, Stocks, B	onds,	etc. (From Schedule C)				20	660	30				
	Dividends on Stock of Domestic Corporati						1	263	30	-			
								200	20				
	Taxable Interest on Liberty Bonds, etc.												
111.	Other Income (including dividends on stock	of for	reign corporations). (State natu	re of income)									
	((a)												
	( <b>b</b> )												
710											21	923	60
12,	TOTAL INCOME IN ITEMS 1 TO 11_									\$	21	723	00
112	Interest Daid	DEDU	JCTIONS				2	706	05				
10.	Interest Paid					\$		700	0)				100
14.	Taxes Paid. (Explain in Schedule F)												
15.	Losses by Fire, Storm, etc. (Explain in Table	at foot	of page 2)										
116.	Bad Debts. (Explain in Schedule F)												
117	(Contributions (F1-1-1-3-1-3)												
	Contributions. (Explain in Schedule F)												
118.	Other Deductions Authorized by Law. (Ex												
19.	Total Deductions in Items 13 T	0 18								8	2	706	05
20.	NET INCOME (Item 12 minus Item	19)								2	19	217	55
	EARNED INCOME CREDIT			OMPUTATION	OF	TAX	See Ins	truction	on 23	)			1
21.	Earned Income (not over \$30,000)	00		110217	55						I	20	00
22.	Less Personal Exemption and I Coo	00	33. Net Income (Item 20 at	7063	20	44. No	ormal Ta	ax (1½	% of I	tem 40) .	8		
	Credit for Dependents		34. Less: Dividends (Item 9 35. Interest on Liberty I		20	45. No	rmal T	ax (3%	of It	em 42)		80	00
23.	Amount taxable at 25/2% (not over 3500)	00	etc. (Item 10)	sonds,		46. No	rmal T	ax (5%	of Ite	em 43)		338	17
	54,000)	00	36. Credit for Depender	nts	-	47. Su	rtax on	Item 20	(see	em 43) Instruc-	1	188	70
25.	Amount taxable at 3% (not over \$4,000)	1	37. Personal Exemption	7500	00	48. Ta	tion 23) x on N	et Inco	me (	(total of		626	87
26.	Amount taxable at 5% (balance					49 Ta	Items 4	4 to 47	) Gain	or Loss	8		
	over \$8,000 of Item 23)	E'O	38. Total of Items 34 to 37	-	30	TO TO	121/2%	of Col.	8, Sc	hed. D) between			
27.	Normal Tax \$11/2% of Item 24) \$17	50	39. Balance (Item 33 minus	38) \$16454	25						8	626	87
28.	Normal Tax (3% of Item 25)	-	40. Amount taxable at 1/2% over \$4,000)	(not 4000	00	51 Tes	ss Credi Earned	t of 25	% of	Tax on		1	27
29.	Normal Tax (5% of Item 26)		0ver \$4,000)								0	622	50
	Surtax on Item 21		41. Balance (Item 39 minus	40)						us 51)	0	266	20
231	Tay on Farned Not Income (4-4-1	50	42. Amount taxable at 2%	(not 4000						t Source. foreign			
32.	Credit of 25% of Tax (not over	50	over \$4,000)43. Amount taxable at 45%	(Itam O. d.	30	c c	ountry	or U.S.	posse	ssion			
_	25% of Items 30, 44, 45, and 46) _ \$ 4	37	43. Amount taxable at 5% 41 minus Item 42)	(Item   3 8454	25	55. Bal	ance of $tems 53$	Tax (1)	)	ssion 2 minus	8 6	22 5	Q
_		1	TAXPAYER'S RECORI	OF PAYMEN									
	PAYMENT AMOUNT	1	DATE	CHECK OR M. O. No.		-	77.	DAME OF	OFFICE	of Issue			
				27.07.110.		1		DANK OR	JEFFICE	OF ASSUE	-		
Fir	st												
Sec	cond												
Th	ird												
Tra													

1. Total receipts from business or profes	sion (state k	ind of busin	ness)		1	1							s	
COST OF GOODS SOLD				10.00			BUSINES					-		
2. Labor	\$			10. Sal	not de	not in	cluded a compens	s "Labo sation fo	or," in Li	ne 2. (Dervices).	0 \$			
3. Material and supplies				11					lness to					
4. Merchandise bought for sale				12. Ta	xes or	n busi	ness and	l busine	ess prope	rty				
5. Other costs (itemize below or on separ	ate sheet)			13. Los	sses (	explai	n in tab	ole at fo	oot of pa	ge)				
6. Plus inventory at beginning of year				14. Ba	d deb	ts aris	sing fron	n sales	or service	es				
7. Total (Lines 2 to 6)				- P	olain i	n tabl	e provid	led at fo	nd deple of pag	re)				
8. Less inventory at end of year				16. Re	nt, re	pairs, or on	and of	ther exp e sheet)	penses (	itemize	d			
9. Net Cost of Goods Sold (Line 7 min	us Line 8) _\$.			17.	T	OTAL	(Lines 1	0 to 16	)					
Enter "C," or "C or M," on Lines inventories are valued at cost, or cost or	6 and 8 to i	ndicate who	ether	18. To	TAL D	EDUC	TIONS (]	Line 9 p	olus Line	17)			3	
	market, wiii	chever is ic	ower.	19. NE	T PRO	FIT (	Line 1 m	ninus Li	ine 18) (	Enter a	s Item 2)		8	
Explanation of deductions claimed on Lines 5 and 16														
Si	CHEDULE B	-INCOMI	E FR	OM REN	TS A	ND F	ROYALT	TIES (	(See Inst	ruction	7)			
1. KIND OF PROPERTY	2	. AMOUNT RECEIVED	AS	OF MARCH 1	, 1913,	(E	DEPRECIA xplain in t	able	5. RE	PAIRS	6. OTHER EXI	PENSES	7. NET P	ROFIT
			WH	CHEVER GR	EATER	at	foot of pa	ge)			(Itemize be	low)	(Enter as I	tem 7)
	S		3			\$		\$			S			
			-											
Explanation of deductions														
claimed in Column 6														
SCHEDULE C	-PROFIT	FROM SAI	LE O	F REAL	ESTA	TE, S	STOCKS	s, BON	DS, ETC	. (See	Instruction 8)	)		
1. KIND OF PROPERTY	2. Da	TE ACQUIRED	3. A	MOUNT REC	EIVED	ALI	DEPRECIAT	INCE	5. Cost o	CH 1. 1913	6. SUBSEQU IMPROVEME	ENT	7. NET PR (Enter as It	OFIT
Sundry Securities	J	1929	15	25186	66		Acquisitio	N	WHICHEVE 15045	GREATE		INIS		1
						\$					\$		\$20,660	30
State how property								-						
was acquired									t					
SCHEDULE D—CAPITAL NE			ROM	SALE OF	F ASS								iction 8a)	0.1
1. KIND OF PROPERTY	2. DATE ACQUIRED	3. DATE SOLD	4. A	MOUNT RECE	EIVED	ALL	OWABLE SI	INCE A	6. Cost of as of Marc Whichever	СН 1, 1913,	7. SUBSEQUI IMPROVEMENT CAPITAL DEDU	S, AND	8. NET GAIN ( (Enter 12) Item 4	or Loss
	Mo. Day Year	Mo. Day Year									CAIIIAL DADO	LIONS	Trem 4	9)
			\$			\$		S.			. 8		\$	
State have and														
State how property was acquired	32													
SCHEDULE E—INTERI	ST ON LIB	ERTY BO	NDS	AND OT	HER	OBL	IGATIO	NS OR	SECUF	RITIES	(See Instruc	tion 1	0)	
1. Obligations or Sec	URITIES			2. INTERES	T RECE	EIVED	3. Амот	NT OWNE	_ As	RINCIPAL	5. AMOUNT OF	WNED	6. INTEREST	XCESS
(-) OLU (-)		•		OR A	CCRUED				LAEM	PT FROM	Ехемртю	N	OF EXEMPT (Enter as Ites	m 10)
(a) Obligations of a State, Territory, or potential the District of Columbia.  (b) Securities issued under Federal Form I.				8		5	3 00		All		xxxxxx	x x	*****	
<ul> <li>(b) Securities issued under Federal Farm L Certificates of Indebtedness issued</li> <li>(c) Liberty 3½% Bonds and other obligation before September 1, 1917, and objective for the control of the contr</li></ul>	oan Act or as after June 1'	Amended, 7, 1929	and						All					A A
or before September 1, 1917, and ob	ons of United ligations of U	States issue	d on						All		xxxxx	X X	xxxxxx	1
(d) Liberty 4% Bonds and other obligation or before September 1, 1917, and ob (d) Liberty 4% and 4¼% Bonds, Certification before June 18, 1929, Treasury Bonds,	cates of Inde	ebtedness is	sued	3-14-				193	\$5, 0	00	XXXXXX	XX	XXXXXX	XX
(e) Treasury Notes	-	-85 COLUMBIA	000						None		<b>*</b>		\$	-
SCHEDU	JLE F-EXP	LANATIO	N OF	DEDUC	TION	S CL	AIMED	IN IT	EMS 1.	14, 16, 1	7. AND 18			
										,, -	,,,,,,,			
									1					
											*			
														7 -7
									100					
											_		4	
													*	
EXPLANAT	ION OF DE	DUCTION	FOR	DEPREC	IATI	ON C	LAIME	D IN S	CHEDU	LES A	AND B			
1. Kind of Profesty (If buildings, state material of which constructed		TE ACQUIRED		AGE WHEN		17/19/2013			COST OR V OF MARCH ICHEVER G			PRECIA	tion Charged o	OFF
S y March Compared Co	,	- INCOLUED		ACQUIRED		AFTER .	ACQUIREM	ENT WH	Exclusive of	Land)	6. Previous yea	rs	7. This year	
								S				\$		
EXPLANATION OF DED	UCTION FOI	R LOSSES	BY F	IRE, STO	RM,	ETC.	, CLAIN	MED IN	SCHEE	OULE A	, AND IN IT	EM 1	5	
1. KIND OF PROPERTY		E ACQUIRED	AS OF WHICE	OST OR VALU MARCH 1, 1 NEVER GREAT	913, TER		BSEQUENT OVEMENTS	1 5.	DEPRECIA LLOWABLE S ACQUISITIO	TION	6. INSURANCE AN SALVAGE VALUE		DEDUCTIBLE L	oss
			•	/ CREA	1									
			9		3.			ð		S		\$		
				1										

# INDIVIDUAL INCOME TAX RET

FOR NET INCOMES FROM SALARIES OR WAGES OF MORE THAN \$5,000 AND INCOMES FROM BUSINESS, PROFESSION, RENTS, OR SALE OF PROPERTY

## For Calendar Year 1929

File This Return With the Collector of Internal Revenue for Your District on or Before March 15, 1930

PRINT NAME AND ADDRESS PLAINLY BELOW

S. NICHOLAS ROERICH (Name)

310 RIVERSIDE DRIVE

(Street and number, or rural route)

NEW YORK (Post office)

Yes

INCOME

Occupation ..

1. Salaries, Wages, Commissions, etc. (State name and address of employer)

N.Y. (County)

Amount received

(State)

Carded

19

Do Not Write in These Spaces

(Cashier's Stamp)

Cash Check M.O. Cert. of Ind.

First Payment

of the United States?

If you filed a return for 1928, to what Collector's office was it sent?

Is this a joint return of husband and wife?

State name of husband or wife if a separate return was made and the Collector's office where it was sent.

Expenses paid (Explain in Schedule F)

5. Were you married and living with husband or wife on the last day of your taxable year?

6. If not, were you on the last day of your taxable year supporting in your household one or more persons closely related to you?

7. If your status in respect to questions 5 and 6 changed during the year, state date and nature of change.

8. How many dependent persons (other than husband or wife) under 18 years of age or incapable of self-support were receiving their chief support from you on the last day of your taxable year?

		<b>\$</b>	\$		\$							
2.	Income from Business or Profession. (From Schedule	A)										
3.	Interest on Bank Deposits, Notes, Corporation Bone	ds, etc. (except interest on tax-free	covenant b	onds)								
4.	Interest on Tax-free Covenant Bonds Upon Which a	a Tax was Paid at Source										
5.	Income from Partnerships. (State name and address)											
	* * * * * * * * * * * * * * * * * * * *											
6.	Income from Fiduciaries. (State name and address)											
7	Rents and Royalties. (From Schedule B)											
	Profit from Sale of Real Estate, Stocks, Bonds, etc.					20	660	30				
	Dividends on Stock of Domestic Corporations	. (From benediae C)	~~~~~~~~~			1	263	30				
	Taxable Interest on Liberty Bonds, etc. (From Schee	J. J. 70)			1 1	1	203	30				
	Other Income (including dividends on stock of foreig											
11.	(a)		me)								C. R.	
	(b)											
12.	Total Income in Items 1 to 11									21	923	60
12.	DEDUC'								<b>D</b>		/	
13.	Interest Paid				\$	2	706	05				
14.	Taxes Paid. (Explain in Schedule F)											
15.	Losses by Fire, Storm, etc. (Explain in Table at foot of pa	age 2)										
16.	Bad Debts. (Explain in Schedule F)											
17.	Contributions. (Explain in Schedule F)		**									
18.	Other Deductions Authorized by Law. (Explain in Sci	hedule F)										
19.	TOTAL DEDUCTIONS IN ITEMS 13 TO 18		1		6				3	2	706	05
<b>2</b> 0.	NET INCOME (Item 12 minus Item 19)								3	19	217	55
=	EARNED INCOME CREDIT	COMPU	TATION	OF	TAX	(See In	struction	on 23)	)			
21.	Earned Income (not over \$30,000) \$5000 00 3	33. Net Income (Item 20 above)	s19217	55	44. I	Vormal T	ax (1½	% of I	tem 40) _	.3	20	00
22.		4. Less: Dividends (Item 9)	s 1263	30	45. 1	Vormal T	ax (3%	of It	em 42)		80	0.0
23.	Balance (Item 21 minus 22) \$3500 00	55. Interest on Liberty Bonds, etc. (Item 10)			46. 1	Vormal T	ax (5%	of Ite	em 43) Instruc-		338	17
	Amount taxable at 10/2% (not over \$4,000) 3	66. Credit for Dependents			1	tion 23)					188	7.0
20.	Amount taxable at 3% (not over \$4,000)	7. Personal Exemption	1500	00		ax on N Items 4	4 to 47	7)		S	6.26	87
20.		38. Total of Items 34 to 37	\$ 2763	30	49. 1	ax on C (12½%	apital of Col	Gain . 8, Sc	or Loss hed. D)			
27.	Normal Tax 11/2% of Item 24) \$ 17 50 3	39. Balance (Item 33 minus 38)	\$16454	25	50. T	otal of of Items 4	or differ 8 and 4	ence 9	Tax on	8	626	.87
28.	Normal Tax (3% of Item 25) 4	40. Amount taxable at 11/2% (not over \$4,000)	4000	00	51. I	ess Cred Earned	$_{ m Income}$	% of (Iten	1 ax on a 32)		A	-27
29	Normal Tax (5% of Item 26)		s12454	25	52. T	otal Tax	(Item	50 mir	nus 51)	8	622	50
30	Tax on Earned Net Income (total	2. Amount taxable at 23% (not		-1	53. I	ess Incon	ne Tax	Paid a	t Source.			
32	of Items 27 to 30) \$ 17 50	over \$4,000)	4000	0.0	54. 11	country	or U.S.	posse	ssion 2 minus			
_	25% of Items 30, 44, 45, and 46) \$ 4 37	3. Amount taxable at 5% (Item 41 minus Item 42)	8 8454	25	оо. В	Items 5	and 5	tem 5	2 minus	s6	22 5	50.

43. Amount taxable at 5% (Item 41 minus Item 42) AFFIDAVIT

I swear (or affirm) that this return including the accompanying schedules and statements, has been examined by me, and to the best of my knowledge and belief, is a true and complete return made in good faith for the taxable year stated, pursuant to the Revenue Act of 1928 and the Regulations issued thereunder.

(If return is made by agent, the reason therefor must be stated on this line)

NOTARIAL SEAL

(Signature of officer administering eath) An amended return must be marked "Amended" at top of return

Sworn to and subscribed before me this \_

(Title)

...., 1930.

(Signature of individual or agent) (Address of agent)

RECEIVED from a account Mis Rambove ...... LIPHANT & CO.

New York, De 3 9th 1930.



Form 1040 C INDIVIDITAL INCO	OME TAX RETURN	Do not write in this space
Parisad July 1028	DEPARTING ALIENS	Serial 374-6290
	ole Year 192	
Taxable period begun,	192 0, and ended May 3/ , 1980	Amount Paid, \$
Pert of Sailing PRINT NAME AND AD	DORESS PLAINLY BELOW	(Cashier's Stamp)
Name of agustama NAME & Micholo	is Roerich	
Date of Calon 16 30 STREET 310 Runer	side Drive	
Company 14 1-2 G M	9.4	Check Cash M.O.
Destination City City	STATE	Examined M. O.
Total Number of Persons OCCUPATION OCCUPATION		By
1. Of what country are you a citizen or subject?  2. Date on white you arrived the United	in States 1920 nonresi United	dent of the States?
4. If you filed an income tax return in the United States, give Year Net Income Tax Paid	e the following information for the pas District in which return w	t three years:
192 \$		
192		
1929 622-50	3 Nd & 9:	-
INCOME	COMPUTATION OF TAX	
1. Salaries, wages, etc. (State name of employer) \$	11. Earned net income	
(a)	12. Less: Personal exemption	
(b)		
2. Interest received on bank deposits, etc.	14. Balance (Item 11 minus 12 and	d 13)
3. Dividends from domestic corporations	15. Tax on earned net income	
4. Other income. (State kind of income)	16. Credit of 25% of Item 15	224 40
(a) Virious 300	17. Net income (Item 10)	\$ 300 00
5. Total Income in Items 1 to 4\$	18. Less: Dividends (Item 3)	
DEDUCTIONS	19. Personal exemption	37500
6. Interest paid on borrowed money\$	20. Credit for dependents	
7. Taxes paid (except Federal income taxes)	21. Balance (Item 17 minus 18, 19	, and 20)\$
8. Other deductions. (Explain below)	22. Normal tax on Item 21	
(a)	23. Less: Earned income credit	(Item 16,
(b)	not over 25% of Item	
9. Total Deductions in Items 6 to 8\$	24. Income tax paid at source 25. Balance of tax (Item 22 minus	
10. Net Income (Item 5 minus Item 9) \$\$	and 24)	\$ \$ 10 mm 20 s
I swear (or affirm) that this return has been examined be complete return for the taxable period as stated, pursuant to thereof.	FIDAVIT  by me, and, to the best of my knowled the Revenue Act of 1928 and regulat	lge and belief, is a true and tions issued under authority
	ason therefor must be stated on this line)	Total
Sworn to and subscribed before me this day of	, 1926 O (Signa	ture of taxpayer or agent)
affed somme of	46, 31	(Address of agent)
(Signature of officer administering oath)	(Title) OF COMPLIANCE	(Address of agent)
This certifies that the above-named person has complied end of the month just preceding the date of this certificate, as furnished.	with all tax obligations with respect to	income accruing up to the
0	Collector of Internal Revenue, or Internal	Revenue Agent in Charge,
Date Mar 3 1930		
	TAINED BY TAXPAYER)	2—13943a

### **INSTRUCTIONS**

Termination of taxable period.—The Commissioner may, upon satisfactory evidence that a taxpayer designs to depart from the United States, declare the taxable period of such taxpayer immediately terminated and make demand for immediate payment of the tax for the taxable period declared terminated, and of the tax for the preceding year, or so much of such tax as is unpaid.

Payment of tax.—An alien, whether resident or nonresident, who intends to depart from the United States shall appear before the Collector or Revenue Agent in Charge for the district in which he resides and satisfy all income tax obligations with respect to income received up to and including the calendar month next preceding that of his intended departure.

Certificate of compliance.—Upon payment of the tax, or upon satisfactory evidence that no tax is due, the Collector of Internal Revenue or Revenue Agent in Charge will execute in duplicate the "Certificate of Compliance" on Form 1040 C, the duplicate will be retained by the taxpayer and presented at the pier, where it will be stamped "Used" and returned to him.

Return of income.—An alien actually present in the United States who is not a mere transient or sojourner is for the purposes of income tax a resident alien, and his taxable income shall include that derived from sources within and without the United States. A nonresident alien individual means an individual who is neither a citizen nor resident of the United States, and his taxable income shall include that derived in full from sources within the United States and that portion of income derived from sources partly within and partly without the United States which is allocated or apportioned to sources within the United States.

Personal exemption.—A resident alien may claim for the year 1928 and thereafter a personal exemption of \$1,500 if single, or \$3,500 if the head of a family or married and living with husband or wife during the entire taxable period, and in addition \$400 for each person (other than husband or wife) dependent upon and receiving his chief support from the taxpayer if such dependent is under 18 years of age or is incapable of self-support because mentally or physically defective. In case the status of a resident alien changes during the taxable year, the personal exemption allowed a single person, a head of a family, or a married person living with husband or wife, will be prorated according to the period during which he occupied each status. For the year 1928 and thereafter a nonresident alien may claim a personal exemption of \$1,500 whether married, single, or the head of a family, and in addition the credit of \$400 for each dependent if the alien is a resident in Canada or Mexico. In either case, if the taxable period is less than a year the personal exemption and credit for dependents shall be reduced proportionately to the length of the period for which the return is made.

Rate of tax.—For a resident alien the normal tax on the net income in excess of the personal exemption and other credits shall be computed for the year 1928 and thereafter at the rate of 1½ per cent on the first \$4,000, 3 per cent on the second \$4,000, and 5 per cent on the balance of such income. For a nonresident alien the tax on the net income in excess of the credit for dividends and personal exemption shall be computed at 5 per cent, except that if the alien is a resident of Canada or Mexico the normal tax on the net income attributable to compensation for personal services performed in the United States in excess of the personal exemption and credit for dependents shall be taxed at the rate of 1½ per cent on the first \$4,000, 3 per cent on the second \$4,000, and 5 per cent on the balance of such income. In addition to the normal tax a surtax is also imposed on the net income in excess of \$10,000, in which case Form 1040 should be filled in by a resident alien, or Form 1040 B by a nonresident alien, and attached to this form.

Earned income.—In computing the tax there may be claimed a credit of 25 per cent of the tax which would be payable if the earned net income constituted the entire net income. This credit shall not exceed 25 per cent of the normal tax, plus 25 per cent of the surtax on the earned net income. Earned net income may consist of compensation received for personal services, or an amount not in excess of 20 per cent of the net profits derived from a trade or business in which both personal services and capital are income producing factors. If the net income is not over \$5,000 the entire amount shall be considered as earned net income, or if the net income is more than \$5,000 the earned net income shall not be less than \$5,000. In no case shall the earned net income for the year 1928, and thereafter exceed \$30,000.

Penalties.—If a taxpayer violates or attempts to violate Section 147 of the Revenue Act of 1928, the provision under which this return is required, there shall, in addition to other penalties imposed by the Act, be added as part of the tax 25 per cent of the total amount of the tax or deficiency in the tax, together with interest at the rate of 1 per cent a month from the time the tax became due.

### NOTICE OF TERMINATION OF TAXABLE PERIOD AND DEMAND FOR PAYMENT OF TAXES DUE

In accordance with the provisions of Section 147 of the Revenue Act of 1928, notice is hereby served on the individual whose name appears on the reverse side of this sheet, that the taxable period of such person is declared terminated at the end of the calendar month last past, and demand is hereby made for the payment of the taxable period declared terminated and for the payment of any income taxes due the United State; for prior years which have not been paid.

Robbitto Lucas B. H. Bonte, Commissioner. This return may be

used by any resident individual. Nonresi-

dents should use

Form 203.

Form 201. Page 1 of Return

# NEW YORK STATE INCOME TAX RESIDENT RETURN

For the Calendar Year 1930 or Fiscal Period

begun..... and ended.... 193

Amount Paid

CASHIER'S STAMP No.

1. my
1000

FILE AT OFFICE OF THE NEW YORK STATE INCOME TAX BUREAU, STATE OFFICE BLDG., ALBANY, N. Y., OR AT ANY DISTRICT OFFICE, ON OR BEFORE APRIL 15, 1931

	READ INSTRUCTIONS CAREFULLY	BEFO	ORE PREPARING YOUR RETURN
<ol> <li>3.</li> <li>4.</li> </ol>	ANSWER QUESTIC Did you file a N. Y. State return for 1928?	6. 7. 8. 9.	<ul> <li>1—10 IN FULL</li> <li>B. Were you married and living with wife (or husband) on the last day of your taxable year?</li> <li>If not, were you on the last day of your taxable year the "head a family" as defined in Instruction D?</li> <li>If your status with respect to Questions 6 and 7 changed during the year, state the date of such change.</li> <li>How many dependent persons (other than husband or wife) under eighteen years of age, or mentally or physically defective, were receiving their chief support from you on the last day of you taxable year?</li> <li>What is the relationship to you of the dependent persons for whos support you claim exemption under Questions 7 and 9?</li> </ul>
	NON-TAXAE		
11-1	3. Enter here all income received during the taxable year 1930, not rep		d on page 2. (See Instruction E)
=	S CALCULATI	ON O	OF TAX
			ount of Income xable at each Rate of Tax Amount of Tax

14. Net income as shown by Item 35 (Page 2 of Return) § 3 17. 1% on first \$10,000 of Item 16. 2% on next \$40,000 of Item 16. 3% on amount over \$50,000 of 15. Less personal exemptions (See Instruction D) ... Item 16..... 16. Balance Subject to Tax (Item 14 minus Item 15) \$ 1,277 20. TOTAL .....

THE TAX MUST BE PAID IN FULL ON FILING RETURN—Make checks or money orders payable to STATE TAX COMMISSION (DO NOT SEND CURRENCY BY MAIL. POSTAGE STAMPS WILL NOT BE ACCEPTED IN PAYMENT OF TAX).

AFFIDAVIT

Sworn to and subscribed before me this.... day of\_\_\_

(Signature of individual or agent)

(Signature of officer administering oath)

(Title)

(Address of agent)

### Form 201-Page 2 of Return

Include income of wife (or husband) and earnings of dependent minor children, unless reported in separate

3,777 8

3,777

### RETURN OF TAXABLE INCOME 21. INCOME FROM PERSONAL SERVICES (Salaries, Wages, Fees, Commissions, Bonuses, etc.) (a) OCCUPATION OR TRADE Show the gross amount received (or accrued); if deduc-tions therefrom are claimed, report them at Hem 11. (b) NAME AND ADDRESS OF EMPLOYER Do not include compensation paid by the United See Instruction 21 22. INCOME FROM INTEREST Report interest received (or accrued) and collectible Interest (bonds or other corporate obligations; bank deposits, mortgages, Include interest on municipal or state bonds oth than municipal or state bonds of the State of New York, notes, etc.).... as such interest is tazable, Exclude exempt interest (See Instruction P.) 23. INCOME FROM DIVIDENDS (a) Received in cash.... Explain valuation of dividends received other than 445 25 (b) Received in property (other than true stock dividends). See Instruction of 24. INCOME FROM PARTNERSHIPS, ESTATES AND TRUSTS Give name and address of partnership, estate or trust. If estate or trust, give also name and address of fiductors. Report your entire share (whether distributed or not of the profits of the partnership (including salary and interest) or of the income of the estate or trust, not merely the amount withdrawn by or paid to you. 25. INCOME FROM BUSINESS OR PROFESSION (Including Farming) See Instruction 25 Enter here your net income from business or profession, Hem 23 of Schedule A. Net income (or toss) from forming operations as computed on Farm Schedule Form 30, or other schedule used in Hes thereof, should be entered her, and such obselule attacked to this return. Net income from business or profession as shown on Schedule A, or on farm schedule, hereto attached. Sale. of Paintings. (If a loss, enter in red ink and subtract) 26. INCOME FROM RENTS (See Instruction 26) (c) REPAIRS (e) INTERPRET ASID (b) GROSS AMOUNT (a) KIND OF PROPERTY AND LOCATION AND DEPLETION (d) TAXES OF PENTS (explain in items in Schedule () (f) NET INCOME FROM RENTS (Column b, less total of columns c, d and e). If net loss, enter in red ink and subtract. 27. PROFIT (OR LOSS) FROM SALE OR EXCHANGE OF STOCKS OR BONDS (Not dealt in as a business and not included in Item 25). See Instruction 27 First fill out Schedule E; then enter here the amount of gain or loss shown therein (If a loss, enter in red ink and subtract) 28. ERGHT OR LOSS) FROM SALE OR EXCHANGE OF LANDS, BUILDINGS AND OTHER PROPERTY (Not dealt in as a business and not included in Item 25 or Item 27). See Instruction 28 First fill out Schedule F; then enter here the amount Schedule attached 7.615 98 29. OTHER INCOME (Describe each source separately and in full) Do not report exempt income here or income from sources described in Rems 21-28, inclusive, TOTAL INCOME FROM ABOVE SOURCES (Items 21 to 29 inclusive)...... 30. 31. DEDUCTIONS (a) Interest on indebtedness (not deducted in Schedule A or Item 26 (e)).... (b) Taxes on real property (except assessments for local benefits) not deducted in Schedule A or Item 26 (d) (c) Other taxes (except income taxes). State character and amount of each..... OTHER DEDUCTIONS (Describe each separately and in full) (d) 32 TOTAL DEDUCTIONS (except contributions).... BALANCE (Item 30 minus Item 32).... 33.

34. CONTRIBUTIONS (See Instruction 34). These must not exceed 15% of Item 33. Submit details on Schedule D, page 3

NET INCOME SUBJECT TO TAX (Item 33 minus Item 34) Enter on page 1, Item 14......

### Form 201-Page 3 of Return SCHEDULE A Income from Business or Profession (Item 25 of Ret

Income from farming may be detailed on Farm Schedule, Form 207, or other separate farm schedule to be attached to this return.

1. (a) 1	Kind of business.				(b) Busines	s addre	33						
	Date when the business was begun												
	whether cash or accrual basis is used_												
3. If in	rentories are used, state if (a) at cost or may submit statements attached hereto, in the for	(b) at cos	t or market,	which	ever is lower	ils than c	called for	herein, and e	nter th	net (noome (or	r love h	elon	
4. Tot.	L SALES AND INCOME FROM BUSINESS	on Prof.	ESSIONAL SE	RVICE	8						. 8		
	COST OF GOODS SOLD		12		ER BUSINESS						1		
			13.	to	y withdrawn wife or mine	or child	ren (Re	port as					
5. Labo	r\$			ino	ome at Item 21,	page 2).		8			-		
R Mot	erials and supplies		13a	. Oth	er salaries a	and wa	Goods	ot re- Sold"			1		
o. Mau	riais and supplies							2014					
7. Mere	handise purchased		15.	Intere	for business est on busin	ess inc	lebtedn	ess to			1		
			16.	Taxes	on busin	 1688 8	nd b	isiness			-		
. Othe	r costs			pro	perty						-		
). Plus	inventory at beginning of year.			(exp	rs, deprecia Nam in Schedul	e B-bel	010)						
			18.	Losse	s not compe: dain in Schedu	nsated	by ins	urance		1836	1		
	10. Total\$		19.	Bad	debts (expla	in in	Schedul	e 0-			1		
T	-ventory at and of year		20.	Other	expenses (ex	plain pri	incipal I	tema in			1		
	or Goods Sold (Item 10 minus			Sch	edule C-below	)					-		
	em 11)\$		21.	Тота	OTHER BU	SINESS	DEDUC	TIONS \$			1		
Corm	OF GOODS SOLD PLUS TOTAL OTHER	Business	DEDUCTION	ss (Ite	m 12 plus It	tem 21)					S		
								THE PARTY OF			0	N State	
Note: 1	Income from Business or Profession fincluded in Items 5, 13a or 14 above	are naume	nts to any in	idividu	al for salarie	es. waa	es, rent.	or other fi	xed or	determinal	le inc	ome of \$2.	500 o
er, if si	ngle, or \$4,000 or more if married and li	ving with	wife (or husb	and),	return of inf	formati	on on f	orms 105 ar	rd 106	should be f	iled.		
400			SC	HEL	ULE B					/:			
Detail	s of deductions for repairs, dep roperty was acquired after Jan low both original cost and the	reciation	and depl	depr	at Item 1	7 of S	cost	ile A, an thereof.	d Ite	m 26 (c) o	on pa	to Janua	arv 1
		fair mar	ket value	as of	that date	and	base o	lepreciati	on o	n the Jar	nuary	1, 1919	value
1.	2.	3.	4.		VALUE		1	DEPRECIATI	ON AN	D DEPLETION	N	9.	
REFER	KIND OF PROPERTY		COST (exclusive o	f land)		, 1919	6.	7.	3.00	8.		REPAI NOT INCI	IRS
Mati c	(Principal material of which constructed	YEAB AC-			HOR TO JAN		RATE	AMOUNT 8		AMOUNT	FOR	IN	
NO.	AND LOCATION	QUIRED	1,	1919, 8	STATE BOTH	UALL	MATE	PURCHA	SE	1930		DEPRECIA	
-				1	1.	1			1		1		1
			\$		\$	-		ð	-	ð	-	0	-
											-		
											-		
			-				-					e	
	Totals		8		8			ð		0		Ø	
			SC	HED	ULE C	- 4 -	nd Ite	m 26 (a)	on n	age 2 of n	eturn		
1.	Details of deductions claimed a	at Items	18, 19 and	20 6	4.	o A, a	ind Ite	5.	o. p		1	6.	10,
EFER T	PERMANAN		AMOUN	T	REFER TO			EXPLANA	TION			AMOUNT	
TEM NO	BALDARATON			1	ITEM NO.	-							T
			S	-							S		-
													-
				1									
				VEC	III E P				-		-		-
	of contributions claimed as ded	vetions	t Item 34	TI	ULE D	ion m	ust ne	t exceed	15%	of the ar	noun	t of Item	33.
etails	of contributions claimed as ded	uctions a	at Item 34	11				3.			1	4.	
	NAME AND ADDRESS OF ORGANIZATION		AMOUNT		N.	AME AN	D ADDI	RESS OF OR	GANIZ	ATION		AMOUNT	-
1000											S.		
												2000	
7													
		-										and a second	

### SCHEDULE E

PROFIT (OR LOSS) FROM SALE OR EXCHANGE OF STOCKS AND BONDS. Item 27, page 2 of return. See page 2 of Instructions.

All securities acquired on or after January 1, 1919 and sold during the taxable year may be combined and the total cost entered in column 4, the total sales price in column 6, and the total profit or loss in column 7 or column 8. All the information with respect to each security acquired prior to January 1, 1919, and sold during the taxable year must be given in detail. With respect to the latter class, gain or loss, if any, must be computed on each transaction, by subtracting from the sales price (column 6) the cost (column 4) or fair market value as of January 1, 1919 (column 5) whichever is higher, in the case of a gain, or, whichever is lower, in the case of a loss. If either the cost or fair market value as of January 1, 1919 is higher than the sales price, no taxable profit will result; if either is lower, no loss may be deducted. In the latter cases, you should enter "none" in column 7 or column 8 opposite the items so affected. If the transactions are too numerous to enter below, a separate schedule in the same form may be submitted.

COLUMN 1	соь. 2	col. 3	column 4	COLUMN 5	COLUMN 6	COLUMN 7	column 8
	NO. OF SHARES	YEAR	-	FAIR MARKET		PROFIT	LOSS
TITLE OF SECURITY	AMOUNT OF BONDS	ACQUIRED	COST	JANUARY 1, 1919	SALES PRICE	SEE INSTRUCTIONS ABOVE	SEE INSTRUC- TIONS ABOVE
Stocks-sundry		1929-30	s 709272	35	s 701.656 3°	76	s 7615 98
						-	
OTALS						8	<u>s</u>
ubtract the lower of columns 7 and 8	from the higher.					\$	s 7615 98
let gain or loss (difference between col	umn 7 and colum	n 8) to be re	eported at Item	27, page 2 of retur	rn	\$	\$

State whether or not stock dividends were received or stock rights exercised during period of ownership with respect to any of the stocks sold in 1930

### SCHEDULE F

PROFIT (OR LOSS) FROM SALE OR EXCHANGE OF LANDS, BUILDINGS, AND OTHER PROPERTY. Item 28, page 2 of return. See page 2 of Instructions.

If more than one sale or exchange was effected during the year, submit separate statements in similar form for each sale and enter net profit or loss at Item 28, page 2 of return. In the case of property acquired prior to January 1, 1919, give all the information called for by both column 1 and column 2; if acquired subsequent to January 1, 1919, give only the information called for in column 1.

Where the property sold was owned jointly or in common with others, attach a statement hereto giving the names and addresses of such other owners.

(1)	Kind of property(2)	Location	(3) Year acquired
(4)	Sale price (or fair market value of property received in	exchange)	\$
	COLUMN 1	COLUMN 2	
(5) (6)	Original purchase price\$  Add permanent improvement since purchase	(10) Exchange value January 1, 1919\$	
(8)	Total\$  Deduct depreciation since purchase  Cost (line 7 less line 8)\$	(12) Total	
(15)	<ul> <li>(a) If Item 4 is higher than both Items 9 and 14 insert</li> <li>(b) If Item 4 is lower than both Items 9 and 14 insert Item 4 is neither higher nor lower than both</li> </ul>	· · · · · · · · · · · · · · · · · · ·	\$
(16)	The gain or loss (difference between Items 4 and 15) to	be reported at Item 28, page 2	<u>\$</u>

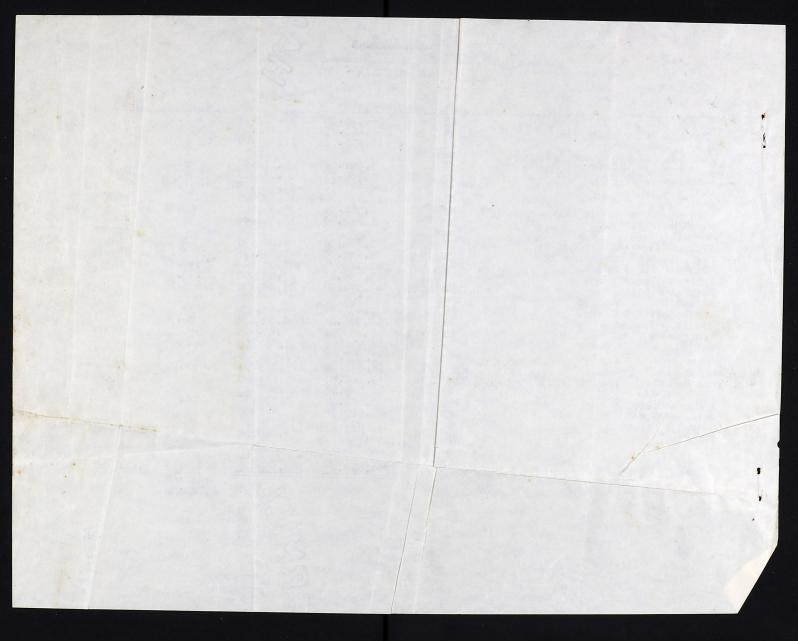
This Space for Office Use Only
Audited By

S. N. ROERICH
SCHEDULE OF PROFIT AND LOSS ON SALE OF SECURITIES
For Year 1930.

Security	Shares Sold	Cost	Selling Price	Ioes	Profit
Allied Chemical	275	55,994.38	55,872.75	121.63	
Aluminum Corp. of America	25	4.081.25	4,086.00		4.75
Aluminum corp. of America	100	12,225.00	12,299.00		74.00
American Can American Telephone and Telegraph	none				
American letephone and letestaph	50	5,250.00	5,111.98	138.02	
American Tobacco "B"	301 10/40	29,348.75	29,248.17	100.58	
American Water Works	1000	88,117.51	87,426.25	691.26	
Auburn Motor	200	10.235.00	10.307.00		72.00
Best & Co.	100	2,387.50	2,146.00	241.50	
Bohn Aluminum	200	6.217.50	6.412.00		194.50
Commercial Solvents	none	01221.72			
Du Pont	125	6,599.38	6,629.37		29.99
Electric Auto-Lite	250	31,788.83	32,244.75		455.92
Electric Bond and Share	350	192,118.75	190,063.25	2,055.50	
Electric Power and Light	2950	3,596.25	3,483.00	113.25	
General Electric	50	42.678.50	41.043.10	1,635.40	
General Motors	1100	42,010.70	47,000,000	-,-3,	
Gillette	none	2,450.00	2,458.50		8.50
International Nickel	100		6,828.50	76.50	6,265,4
Liquid Carbonic	100	6,905.00	4,892.00		67.00
Montgomery Ward	200	4,825.00	3,993.50	96.50	
National Fuel & Gas	100	4,090.00		,0.,0	579.50
Radio	200	7.392.50	7,972.00	3,467.00	11111
Radio Keith Orpheum	5800	128,492.50	125,025.50	39401.00	98.50
Sears Roebuck	100	5,542.50	5,641.00		8.50
Standard Gas	100	10,162.50	10,171.00	216.50	0.,0
Transamerica	100	2,275.00	2,058.50	18.50	
Union Carbide	400	27,507.50	27,489.00		
United Aircraft	50	3,922.50	3,714.25	208.25	
Vanadium	250	15,068.75	15,040.00	28.75	
vanautus					
		709,272.35	701,656.37	9,209.14	1,593.16
loss on sale of si	CIP IT IES			7.615.98	
LOSS ON SALE OF SI	A CHELT TO THEM			Section of the Party of the Par	

S. N. ROERICH
SCHEDULE OF PROTT AND LOSS ON SALE OF SECURITIES
FOR Year 1930.

Security	hares		Selling		
Allied Chemical	pld	Coat	Price	Loss	Profit
luminum Corp. of America	75 25 00	55,994.38	55.872.75	121.63	
merican Can	42	4,081.25	4,086.00		4.7
		12,225.00	12,299.00		74.00
merican Telephone and Telegraph	one				
merican Tobacco "B"	50	5,250.00	5,111.98	138.02	
merican Water Works	11 10/40	29,348,75	29.248.17	100.58	
uburn Motor	100	88,117.51	87,426.25	691.26	
est & Co.	30	10,235.00	10,307.00	072.20	70 00
John Aluminum	100	2,387.50	2,146.00	241.50	72.00
ommercial Solvents	20	6,217.50	6,412.00	547.00	204 54
u Pont	nne	03571070	09475000		194.50
lectric Auto-Lite	15	6,599.38	6 600 00		
Slectric Bond and Share	30		6,629.37		29.99
lectric Power and Light	290	31,788.83	32,244.75		455.92
eneral Electric	290	192,118.75	190,063.25	2,055.50	
eneral Motors	10	3.596.25	3,483.00	113.25	
illette	1100	42,678.50	41,043.10	1,635.40	
International Nickel	nole				
	10	2,450.00	2,458.50		8.50
iquid Carbonic	10	6,905.00	6,828.50	76.50	
ontgomery Ward	20	4,825.00	4.892.00		67.00
lational Fuel & Gas	10	4090.00	3,993.50	96.50	
adio	200	7.392.50	7,972.00	,00,00	579.50
adio Keith Orpheum	5800 -	128,492.50	125,025.50	3,467.00	2/3.30
ears Roebuck	100	5,542.50	5.641.00	30407.00	09 50
tandard Gas	100				98.50
ransamerica	100	10,162.50	10,171.00	036 50	8.50
nion Carbide	400	2,275.00	2,058.50	216.50	
nited Aircraft	400	27,507.50	27,489.00	18.50	
andium	50 250	3,922.50	3,714.25	208.25	
4 000	250	15,068.75	15,040.00	28.75	
		With the second second second		Sealer Sealer Control Sealer	-
		709,272.35	701,656.37	9,209.14	1,593.16
TAGG OW GATE OF				1.593.16	
LOSS ON SALE OF SEC	JURITIES			7,615.98	



# DUPLICATE

DETACH AND RETAIN
THIS COPY AND
THE INSTRUCTIONS

# INDIVIDUAL INCOME TAX RETURN

FOR NET INCOMES FROM SALARIES OR WAGES OF MORE THAN \$5,000 AND INCOMES FROM BUSINESS, PROFESSION, RENTS, OR SALE OF PROPERTY

## For Calendar Year 1930

PRINT NAME AND ADDRESS PLAINLY BELOW

S. NICHOLAS ROERICH
(Name)

310 Riverside Prive
(Street and number or rural route)

New York
(Post office)

(County)

Occupation

ARTIST

DUPLICATE

IF YOU NEED
ASSISTANCE, GO TO A
DEPUTY COLLECTOR
OR TO THE
COLLECTOR'S OFFICE

1.	Are you a citizen or of the United Sta	resident	es		5. Wer	e you married a	nd living	with hus	band	100		
2.	If you filed a return	for 1929, to		ALE W	6. If no	e you married a wife on the las ot, were you on	the last d	lay of yo	ur taxable	year suppo	orting	
3.	Is this a joint return	n	7.Latn_L	ist. N. Y.	7. If vo	your household our status in res	one or m	ore persons	ons closely	related to	you?	
	of husband and w State name of husba	rife?										
1.	separate return wa	as made and the			18	many dependent years of age or	incapable	e of self-s	upport were	e receiving	their	
=	Collector's office v	where it was sen			ch	ief support fron	you on	the last o	lay of your	taxable y	ear ?	
Instr	em and ruction No. . Salaries, Wages, Co	ommissions, etc.		COME d address of employer)	Amount receive	Expenses (Explain in Sch	paid odulo F)					
					8	\$	ss_				F. 19	
0				ule A) Sale of	nainting	200		-	00000			
							-		00000	2		
				onds, etc. (except inte						-		
4.	Interest on Tax-fre	e Covenant Bon	ds Upon Whic	h a Tax was Paid at S	Source					-	X D	
. 5.	Income from Partr	nerships. (State	name and address)									1
6.	Income from Fiduci	iaries. (State nan	ne and address)			4						
7	Rents and Poveltie	G (Francisco)										
	777 2.92											
8.	Front from Sale of	Real Estate, St	cocks, Bonds, e	etc. (From Schedule C)	Scheat	ite attac	nea	7	61598			
				chedule E)								
10.	Dividends on Stock	of Domestic Co	orporations				10 to 20		44525	1		
11.	Other Income (inclu	ding dividends	on stock of for	eign corporations). (	State nature of inco	ome)	100 . 4	CO.				
	(a)		178	- 3	100	*	4					
							4					
12.						-		-			3 829	27
	201112 21100	and in library i		CTIONS		4		III		<b>D</b>		7.21
13.	Interest Paid						\$		5138			
14.	Taxes Paid. (Expla	in in Schedule F)		of page 2)	-							
15.	Losses by Fire, Stor	rm, etc. (Explain	n in Table at foot o	of page 2)	0.00			. 1				
				180								
	Other Deductions A					13		-  -				-0
19.										3	24	38
<u>20.</u>	NET INCOME	(Item 12 minu	is Item 19)							\$	3 777	89
=	EARNED II	NCOME CRE	DIT		COMPL	JTATION O	F TAX	(See Ins	truction 23	3)	-	
21. 22.	Earned Income (not Less Personal Ex	emption and	\$1100000 150305	33. Net Income (1)	1	s_3777 89			x (1½% of		s27	48
-	Credit for Depend			34. Liberty Bond Interest	-		45. N	ormal Ta	x (3% of I	tem 42)		
23. 24.	Balance (Item 21 n Amount taxable at 1	1/2% (not over	s_950000	35. Dividends	\$	-	46. N	ormal Ta	$1 \times (5\% \text{ of It} $ $1 \times (5\% \text{ of It} $	tem 43)	none	
	Amount taxable at	5% (not over	\$ 4000 00	36. Credit for 1 e-	44525	-		tion 23).				Jan
	\$4,000) Amount taxable at		4000 00	pender s	A	-		Items 44	to 47)		8 27	48
	over \$8,000 of Ite	m 23)	15000	emption	150000	1045 25	49. 1	$(12\frac{1}{2}\%)$	apital Gain of Col. 8, S	$ched. D)_{-}$	none	133
27.	Normal Tax (11/2%	of !tem 24)	\$ 6000	38. Total of Items		1832 64	50. 1	Otal of o	and 49	between	s 27	48
28.	Normal Tax (3% o	of Item 25)	120 00	39. Bala ce (Item 3	3 minus 38)	1832 64	51. Le	ess Credi	t of 25% o income (Ite	f Tax on m 32)	9	37
29.	Normal Tax (5% c	of Item 26)	75 00	over \$4,000,		1032 04			(Item 50 m		s 18	11
30.	Surtax on Item 21		10 00	41. Balance (Cem 3	9 minus 40)	s none			e Tax Paid			
31.	Tax on Earned Net.	Income (total	265 00	42. Amount taxable over \$4,000)	e at 3% (not		54. In	come Ta	x paid to a	a foreign	none	
32.	of Items 27 to 30) Credit of 25% of 25% of Items 30,	Tax (not over	66 25	43. Amourt taxable	at 5% (Item		55. Ba	alance of	Tax (Item	52 minus	s 18	1 7
-	20 % of Items 30,	44, 45, and 46)	ð	41 minus 42)		DAVBIENT		Items 53	and 54)		510	11
=	PAYMENT	1	14	TAXPAYER'S R								-
-	2.0.0001	AMOUN	•	DATE	Снеск с	or M. O. No.			BANK OR OFFI	CE OF ISSUE		
Fi	rst			3.74	1							
Se	cond			15 5 6								
Ti	nird			AS C								
Fo	ourth				4.							

1. Total receipts from business or profession	on (state kind of	husinas	(a)	1								1
1. Total receipts from business of profession	on (state kind of	Dusines		От	TER BUSINE	ss DE	DUCTIONS		1	Ī		
Pent Service of the s	e e		10. Sala	aries i	not included	as "La	bor," in Line	2. (D	0			
2. Labor							for your servedness to oth				-	
3. Material and supplies							ness property					
4. Merchandise bought for sale	sheet)											
5. Other costs (itemize below or on separate	выест)						foot of page					
6. Plus eventory at beginning of year			II Ib Hen	recia	tion obsolos	nnana	s or services and depletio	- 1-			-	
7 Total (Lines 2 to 6)			16. Ren	t, re	n table provi pairs, and o	ded at	foot of page) expenses (ite	mizec	1			
8. Less inventory at end of year				CIOW	or on separa	e ane	et)					
9. NET COST OF GOODS SOLD (Line 7 minus			17.				16)					
Enter "C," or "C or M," on Lines 6 a inventories are valued at cost, or cost or m	and 8 to indicate arket, whichever	e wheth is lowe	er II				plus Line 17					
Explanation of deductions			19. NET	PRO	FIT (Line 1 1	minus	Line 18) (Er	ter a	s Item 2)			
claimed on Lines 5 and 16												
SCH	EDULE B—INC		3. COST OF VA		ND ROYAL		(See Instru	ction	,			48-1
1. KIND OF PROPERTY	2. Amoun Receiver	D .	AS OF MARCH 1, WHICHEVER GRE	1913,	(Explain in at foot of p	table	5. Repai	RS	6. OTHER EXPE	ENSES (W)	7. NET PR (Enter as It	ofit em 7)
	. 8									1		T
			?		3		9		\$		- 3	
		-										
Explanation of deductions					l							
claimed in Column 6												
SCHEDULE C—	PROFIT FROM	SALE	OF REAL I	ESTA	TE, STOCK			_				
1. Kind of Property	2. Date Acqu	UIRED	3. Amount Real	LIZED	ALLOWABLE ACQUISITI	SINCE	5. COST OR V AS OF MARCH WHICHEVER G	1, 1913,	6. Subseque Improvemen	NTS	7. NET PE (Enter as It	em 8)
Stocks - Sundry	1929-19	30	701656	87	e -		309272	25		1	. 12 / 2 2	40
					9		194-1-6-	-			84.015	98
								-				
State how property		-										
was acquired												
SCHEDULE D—CAPITAL NET	The second secon		M SALE OF	ASS	5. DEPRECIA						ection 8a)	
1. Kind of Property	2. Date 3. D Acquired So	DATE LD	4. Amount Real	IZED	ALLOWABLE &	SINCE	6. Cost or V as of March 1 Whichever Gr	ALUE , 1913, LEATER	7. SUBSEQUED IMPROVEMENTS, CAPITAL DEDUCT	AND	8. NET GAIN 0 (Enter 12½ Item 49	R Los % as
	Mo. Day Year Mo. Do	ay Year							CATTAL DEDUC	ITONS	Item 49	1
£		\$			\$		\$		\$		\$	
2.								-				
										-		1
State how property was acquired		*						1911				
SCHEDULE E—INTERES	T ON LIBERTY	BONI	OS AND OTH	HER	OBLIGATION	ONS C	OR SECURIT	TIES	(See Instructi	on 9	)	
1. Obligations or Secur	23000	2 4 7 9	2. Interest	RECE			4. Prin	CIPAL	5. AMOUNT OW	NED	6. INTEREST AMOUNT IN E	ON
The Saladarion of Saladarion	THE S		OR AC		3. Amor	UNT OW	EXEMPT TAXA	FROM	IN EXCESS OF EXEMPTION	r	OF EXEMPTI	ON
(a) Obligations of a State, Territory, or polit the District of Columbia	ical subdivision t	heres,	or						The state of the s			1
(b) Committee is an advantage To 1 1 To T	n Act, Treasury	Bills, a			3		All		XXXXXX	хх	xxxxx	XX
Certificates of Indebtedness issued as Certificates of Indebtedness issued as (c) Liberty 3½% Bonds and other obligation or before September 1, 1917, and oblig (d) Liberty 4% and 4¼% Bonds, Certifica before June 18, 1929, Treasury Bonds	s of United States	issued	on				All		XXXXXX	хх	xxxxx	x x
(d) Liberty 4% and 41/4% Bonds, Certifica	tes of Indebtedne	ess issu	ed ed	9	7	-	All		xxxxx	хх	xxxxxx	x x
	and Savings Cer	rtificate	8	-			\$5, 000		\$		\$	
(e) Treasury Notes	E E EVDI ANA	TION	OF DEDUCA	CLON	0 01 111		None					
SCHEDOL	E F—EXPLANA	LION	OF DEDUCI	TION	S CLAIMEI	DINI	TEMS 1, 14,	16, 1	7, AND 18			
	61	490			• *							
	3.00	1	San San									
			200	49.								
				-		-						
					*							
				-	*							
						0/2			95			
	N OF DEDUCT	ION F	OR DEPREC	IATI	ON CLAIM				AND B			
1. Kind of Property (If buildings, state material of which constructed)	2. DATE Acqu	UIRED	3. AGE WHEN		4. PROBABLE I	LIFE	5. Cost or Value of March 1,	UE 1913,	AMOUNT OF DEF	-	TION CHARGED C	FF
1			MOQUIKED		AFTER ACQUIRE	MENT	WHICHEVER GRE (Exclusive of La	nd)	6. Previous years	S	7. This year	
1						s			3	\$		
					***							
					No.							
EXPLANATION OF DEDUC	CTION FOR LOS	SES B	Y FIRE, STO	RM,	ETC., CLAI	MED	IN SCHEDU	LE A	, AND IN ITE	EM 1	5	
1. Kind of Property	2. DATE ACQUI	1 :	3. Cost or Values of March 1, 19 Hichever Great	TP I	4. Subsequen	NT	5. DEPRECIATION ALLOWABLE SIN	CE	6. INSURANCE AND SALVAGE VALUE		. DEDUCTIBLE L	oss
			THE GREAT	LE	5 88		Acquisition		Table Value			
		\$-		3.		\$		\$		\$		
					76							
					*		39					

FORM 1—Revised Feb., 1928 TREASURY DEPARTMENT INTERNAL REVENUE SERVICE	RECEIPT FOR PAYME	ENT OF TAXES	131-	
at 750 M	Date   RECEIVE	D PAYMENT interest; or offer in a	(Class of tax)  (Description of collection; tax; penalty:	
A Nuch	alas Con AUG	Coller 1931		
2 2/18/	310 18 3 THIRD DIS	OE INT. REV. Received paym	(Period covered)  Amount, \$2.0	
GOVERNMENT PREVIOUS OFFICE 2—2792		27	Collector of Internal Revenue.	200

S. NICHOLAS ROERICH

# SCHEDULE OF PROFIT & LOSS ON TRADING IN SECURITIES FOR PERIOD FROM JANUARY 1, 1931 TO JULY 14, 1931

	No. of Sh. Sol		Selling Price	Loss	Profit
Air Reduction Alaska Juneau Aluminum Co. of Amer. Allied Chem. & Dye American Can Auburn Auto J. I. Case Elec. Power & Lt. Foster Wheeler Midland Steel Prod. Mullins Mfg.	100 50 40 375 50 2,001 125 50 200 850	\$ 10,262.50 931.25 8,489.00 65,656.25 5,675.00 398,032.19 14,980.13 2,426.25 2,815.00 5,917.50 25,401.25	\$ 10,096.00 949.80 8,686.00 65,447.50 5,424.28 396,522.67 15,113.76 2,384.25 2,739.25 5,649.50 24,618.50	\$ 166.50 208.75 250.72 1,509.52 42.00 75.75 268.00 782.75	\$ 18.55 197.00
Nash Motors Steart Warner Superior Steel United Aircanft Worthington Pump	200 50 50 550 550	7,930.00 1,068.75 950.00 17,345.00 4,253.76	7,962.00 1,031.05 885.50 17,558.00 4,369.25	37.70 64.50	32.00 213.00 115.49
		\$572,133.83	\$569,437.31	\$3,406.19	\$709.67
Los	S ON SAI	LE OF SECURIT	TES	709.67	
				\$2,696.52	

00.50.00 00.000 00.000 00.08.9 4 0 00.115 25.000,0 00.60 00. De la late 17.509 00.086 00.000.1 00.000 00.840.10 01.10 TA. THE 1.000

TREASURY DEPARTMENT INTERNAL REVENUE SERVICE

(Auditor's Stamp)

# INDIVIDUAL INCOME TAX RETURN

FOR NET INCOMES FROM SALARIES OR WAGES OF MORE THAN \$5,000 AND INCOMES FROM BUSINESS, PROFESSION, RENTS, OR SALE OF PROPERTY

## For Calendar Year 1931

File This Return With the Collector of Internal Revenue for Your District on or Before March 15, 1932

PRINT NAME AND ADDRESS PLAINLY BELOW

COPY

S. NICHOLAS ROERICH 310 RIVERSIDE DRIVE (Street and number, or rural route)

NEW YORK, N. Y. N. Y.

(County)

Occupation Artist

(Post office)

. ...

(State)

Cash Check M.O. Cert. of Ind. First Payment

(Cashier's Stamp)

Do Not Write in These Spaces

1.	Are you a citizen or resident Yes of the United States?	5. Were you married and living	g with	husband	2	No			
2.		5. Were you married and living or wife on the last day of 6. If not, were you on the last in your household east.	day of	your tax	rable	year sup	porti	ng	
3.	Is this a joint return	7. If your status in respect to o	more pe question	ersons clo ns 5 and	osely 6	related t anged	o you	.?	
4.	State name of husband or wife if a	8. How many dependent person	te and 1 ns (othe	nature o	f char	nge	e) un	der	
	separate return was made and the Collector's office where it was sent	Dist. N.Y.  6. If not, were you on the last in your household one or 1.  7. If your status in respect to a during the year, state dat 8. How many dependent person 18 years of age or incapable chief support from you on	le of se	lf-suppor	rt wer	e receivi	ng th	eir No	one
Itali		COME			Jour	l	year		
	Salaries, Wages, Commissions, etc. (State name as	ad address of employer)  Amount received Expenses paid (Explain in Schedule F)							
		sss							
2.	Income from Business or Profession. (From School	lule A)	3	800	00				
		onds, etc. (except interest on tax-free covenant bonds)							
		ch a Tax was Paid at Source							
		)							
6.	Income from Fiduciaries. (State name and address)								
	(class hams and dataces)								
7	Rents and Royalties. (From Schedule B)								
		etc. (From Schedule C) Loss-Schedule attache	ed 2	606	52				
			eu z	390-	7)4				
	Taxable Interest on Liberty Bonds, etc. (From S			40	00				
	Dividends on Stock of Domestic Corporations			70	00				
11.	Other Income (including dividends on stock of for								
	(a)			-					
12.	(b)						1	143	48
12.		CTIONS	1	T	ī	\$			
13.	T	\$		46	02				
14.	Taxes Paid. (Explain in Schedule F)								13
		of page 2)							
	Bad Debts. (Explain in Schedule F)								1
	Contributions. (Explain in Schedule F)								
		Schedule F)							
19.				-				46	02
20.	N- T- (T) 40 4 7					8	1	097	46
	EARNED INCOME CREDIT	COMPUTATION OF TAX	(See I	nstructi	on 23	)			
21.	Earned Income (not over \$30,000) - \$	33. Net Income (Item 20 above) \$ 1097 46 44. N	Jormal'	Γax (1½	% of I	(tem 40)	9	No	
22.	Less Personal Exemption and Credit for Dependents	34 Liberty Bond				em 42) _			
23.	Balance (Item 21 minus 22)\$	Interest (Item 9)						ax	
24.	Balance (Item 21 minus 22) \$	35. Dividends 47. So	urtax of	n Item 2	0 (see	em 43) Instruc-			-
26.	\$4,000)	36. Credit for Dependents 48. Ta	ax on Items	Net Inc	ome (	(total of	8		
20.	over \$8,000 of Item 23)	37. Personal Ex- 1500 00 1500 00 49. Ts	ax on (	Capital	Gain 8. Sc	or Loss thed. D)- between			
27.	Normal Tax (1½% of Item 24) \$	33. Total of Items 34 to 37	otal of	or differ	ence	between	S		
	Normal Tax (3% of Item 25)	39. Balance (Item 33 minus 38) \$ \$ 51. Le	ess Cred	dit of 25	% of (Iten	Tax on			
	Normal Tax (5% of Item 26)	Over \$4,000)				nus 51)	8		
	Surtax on Item 21	41. Balance (Item 39 minus 40)\$ N	og Tnao	me Ter I	Doid o	+ Source			
20	Tax on Earned Net Income (total of Items 27 to 30).	42. Amount taxable at 3% (not E 53. Le 54. In over \$4,000)	come T	ax paid	to a	foreign			
	of Items 27 to 30)	43. Amount taxable at 5% (Item 41 minus 42) \$ 55. Ba	alance o	ax paid or U.S. f Tax (I) and 54	tem 5:	2 minus	8		
		AFFIDAVIT					-0		

Sworn to and subscribed before me this \_\_\_\_\_\_\_day of \_\_\_\_\_\_\_, 1932. NOTARIAL SEAL

See Instruction 27

(If return is made by agent, the reason therefor must be stated on this line)

I swear (or affirm) that this return, including the accompanying schedules and statements, has been examined by me, and to the best of my knowledge and belief, is a true and complete return made in good faith for the taxable year stated, pursuant to the Revenue Act of 1928 and the Regulations issued thereunder.

(Signature of officer administering oath) (Title)

An amended return must be marked "Amended" at top of return

(Signature of individual or agent)

(Address of agent) Checks and drafts will be accepted only if payable at par 2-15762

# SCHEDULE A—INCOME FROM BUSINESS OR PROFESSION (See Instruction 2)

Cost of Goods Sold	ssion (state i	and of busin	ness)	10.0			Busines								
2. Labor		3	-	11						"in Line 2 your servi		\$			
3. Material and supplies			-							ss to other					
Merchandise bought for sale      Other costs (itemize below or on separ	ate sheet)			The same						property_		-		-	
6. Plus inventory at beginning of year				14. Ba	d deb	ts ar	ising from	n sole	99 01	of page)		-			
7. Total (Lines 2 to 6)	3			15. De	precia olain i	tion,	obsolesc	cence, led at	and foot	depletion of page)	(ex-				
8. Less inventory at end of year.				16. Re	nt, re	or or	s, and ot	ther e she	experet)	depletion of page) nses (item	nized				
9. Net Cost of Goods Sold (Line 7 min	us Line 8) _			17.			(Lines 1					3			
Enter "C," or "C or M," on Lines inventories are valued at cost, or cost or	6 and 8 to i	indicate who	ether wer.							s Line 17)					
Explanation of deductions claimed on Lines 5 and 16				19. NE	T PRO	OFIT (	(Line 1 m	inus	Line	18) (Ent	er as	Item 2)		ss	
	CHEDULE E								(Se	e Instruct	ion 7	)			
1. Kind of Property	2	RECEIVED	AS	COST OR V. OF MARCH 1 CHEVER GR	. 1913.	1 0	. Deprecia: Explain in to at foot of pag	able		5. Repairs	3	6. OTHER EXP	PENSES low)	7. NET PE (Enter as It	em 7)
	\$					3			-\$			s		e	
								-	-					-	
									-						
Explanation of deductions					<u> </u>	<u> </u>	-			1000				-	
claimed in Column 6 SCHEDULE C	PROFIT	FROM SAI	LE O	F REAL	ESTA	TF	STOCKS	S. PO	NDS	FTC (	Sco T	notwett C			
1. Kind of Property		ATE ACQUIRED		MOUNT REAL		1 4.	DEPRECIAT	INCE	5.	COST OR VAL	LUE 1013	6. Subsequi	ENT	7. New PW.	OSS
Various		0-1931		59437	T	-	Acquisitio	N	WH	ICHEVER GRE	EATER	IMPROVEME	NTS	7. NET PRO (Enter as Its	em 8)
	-73	/)-	\$20	77+3/	31	\$			\$_2	72133	83	\$		<sub>\$2696</sub>	5
															-
State how property was acquired						1		-	-						
SCHEDULE D—CAPITAL NI	ET GAIN O	R LOSS FF	ROM	SALE O	F ASS	SETS	HELD	MOR	E T	HAN TW	O YI	EARS (See )	Instru	ection 8a)	
1. Kind of Property	2. DATE ACQUIRED	3. DATE SOLD	4. A	MOUNT REA	LIZED	AL	DEPRECIATI LOWABLE SI ACQUISITION	NCE	AS O	Cost or Val F March 1, 1 CHEVER GRE	UE 1913,	7. SUBSEQUE	ENT R. AND	8. NET GAIN O (Enter 1234) Item 49	R Loss
	Mo. Day Year	Mo. Day Year	-						WHI	CHEVER GRE	ATER	CAPITAL DEDUC	CTIONS	Item 49	1
			- \$			\$	en-	42	\$			\$		\$	
								1							-
State how property was acquired					-			l	,						-
SCHEDULE E—INTER	EST ON LII	BERTY BO	NDS	AND OT	HER	OBI	IGATIO	NS (	OR S	ECURITI	ES	(See Instruct	ion 9	)	
1. Obligations or Sec				2. INTERES		EIVED	3. Amour			4. Princip	PAL	5. AMOUNT OV IN EXCESS O	VNED	6. INTEREST AMOUNT IN E	ON XCESS
(a) Obligations of a State, Territory, or po	olitical aubat-	vicion the	of a-	OR A	- LIVED					AMOUNT EXEMPT F TAXATIO	HOM	EXEMPTION	N	OF EXEMPTI	ION
(b) Securities issued under Federal Farm L	oan Act, or u	nder such A	ct as	\$			\$			A11		x x x x x x	x x	x x x x x	x x
amended, Treasury Bills, and Treasur (c) Liberty 3½% Bonds and other obligati or before September 1, 1917, and ob	y Certificates ons of United	of Indebted States issue	ness							A11		x x x x x x	x x	x x x x x x	x x
(d) Liberty 4% and 4¼% Bonds, Treasurings Certificates	oligations of I ry Bonds, an	U. S. possess d Treasury	sions Sav-							All		x x x x x x	x x	x x x x x	x x
(e) Treasury Notes										\$5, 000		3		\$	-
SCHED	ULE F-EXI	PLANATIO	N OF	DEDUC	TION	S CI	LAIMED	IN I	ITEN	None IS 1, 14, 1	6, 17	, AND 18	JJ-		
								-	•						
EXPLANAT	ION OF DE	DUCTION	FOR	DEPREC	CIATI	ON	CLAIME	D IN	SCI	HEDULES	AA	ND B			
1. Kind of Profesty (If buildings, state material of which constructed		TE ACQUIRED	To the	AGE WHEI			OBABLE LI		5. Cc	OST OR VALUE MARCH 1, 19 EVER GREAT		AMOUNT OF DE		tion Charged o	FF
				TOGOINED		ASTER	ACQUIREMI	ENT	(Exch	EVER GREAT	ER )	6. Previous year	rs	7. This year	
			1					\$			8-		S.		
								-			-	-			
EXPLANATION OF DEDI	UCTION FO	R LOSSES	BY F	IRE, STO	PRM,			IED	IN S	CHEDULI	Е А,	AND IN IT	EM 15	5	
1. KIND OF PROPERTY	2. DAT	E ACQUIRED	AS OF WHICH	MARCH 1, 1 WEVER GREA	913, TER	4. S IMP	UBSEQUENT ROVEMENTS		ALLOY	EPRECIATION WABLE SINCE QUISITION	6	. Insurance and Salvage Value	D 7.	DEDUCTIBLE LO	SS
			\$		\$			s					\$		
														2—15752	

FORM 1040 C—Revised June, 1929 TREASURY DEPARTMENT INTERNAL REVENUE SERVICE	INDIVIDUAL IN	ICOME TAX RE	TURN Do no	write in this space
Passfort Page	TO BE USED E	BY DEPARTING ALIEN	NS Serial Number	7 7458
Nymber	111.7	xable Year 19	1/4.	,
Port of Sailing	Taxable period begun	, 19 , and ended	Amount Paid, \$	ashier's Stamp)
Name of MANTILLE	PRINT NAME AN	D ADDRESS PLAINLY BELOW	Pelico	anier Stamp)
Steamer	NAME /	cholag 1	100000	
Date of Sailing	STREET SKO	Merrises	le //	ED
Country of Merce	9/11	- 71	US	ED
Destination	CITY	STATE	Chec	1 2 at 1931
Total Number of Persons	OCCUPATION	Rate of Pay	Expressed By US	L. Rov. Ash
1. Of what country are you a citizen	2. Date on w	vhich	3. Are you a resident	or distance
or subject?	the Unit	ad States	nonresident of t	ne //
Year Net Income	x return in the United States,	give the following information  District in	n for the past three ye which return was filed	ars:
192 \$	Tolled - ,	ne deg		
192.7	de			
1920	As.	ال		
INCOME	6	1 2 5		
1. Salaries, wages, etc. (State	11 0-00	COMPUTATION 11. Earned net income.		0
		12. Less: Personal exer	4	
(b)			pendents	
2. Interest received on bank	deposits, etc	14. Balance (Item 11 n		Control of the Contro
3. Dividends from domestic	corporations	16. Tax on earned net	- 10	
4. Other income. (State kind o	f income)	16 Cred of 25% of It		
(a)	800	17. Net income altem 1	0)	1097 6
5. Total Income in		Is Aess Dividends H	om 3)	40 -
6. Interest paid on borrowed	The state of the s	19. Personal exen	nption	875
7. Taxes paid (except Federa		4, 20. Credit for der	pendents	0//
8. Other deductions. (Explain		21. Balance (Item 17 m	inus 18, 19, and 20)	\$188 0 b
(a) (b)	Delow)	22. Normal tax on Item		s 273
Hour Fors	2696	23. Less: Earned incom	ne credit (Item 16, % of Item 22)	168
9. Total Deductions i	IN ITEMS 6 TO 8 7 74V	24. Income tax paid at		
	minus Item 9) \$4042	25. Balance of tax (Iten and 24)	a 22 minus Items 23	2003
	11	FILLANIE		)
complete return for the taxab	this return has been examined ble period as stated, pursuant	by me, and, to the best of m to the Revenue Act of 1928 a	y knowledge and beli	of, is a true and
	I am ell	1		
Sworn to and subscribed	before me thisday of_	reason therefor must be stated on this li	ne) . 1/-/1/	2.8 5 11
111111	En Valores	2010	(Signature of taxpayer	or agent)
(Signature of officer a	dministering oath)	(Title)	(Address of ager	it)
This certifies that the abo		E OF COMPLIANCE	- Vi	
end of the month just preceding furnished.	ng the date of this certificate, a	s the mean by assessment mad	e by this office, or pro-	ning up to the
	1 1	udual	ville.	me
Date	19	Collector of Internal Revenue, or	Thernal Revenue Agen	in Charge,
		ETAINED BY TAXPAYER)	N	2—13943a

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### INSTRUCTIONS

Termination of taxable period.—The Commissioner may, upon satisfactory evidence that a taxpayer designs to depart from the United States, declare the taxable period of such taxpayer immediately terminated and make demand for immediate payment of the tax for the taxable period declared terminated, and of the tax for the preceding year, or so much of such tax as is unpaid.

Payment of tax.—An alien, whether resident or nonresident, who intends to depart from the United States shall appear before the Collector or Revenue Agent in Charge for the district in which he resides and satisfy all income tax obligations with respect to income received up to and including the calendar month next preceding that of his intended departure.

Certificate of compliance.—Upon payment of the tax, or upon satisfactory evidence that no tax is due, the Collector of Internal Revenue or Revenue Agent in Charge will execute in duplicate the "Certificate of Compliance" on Form 1040 C, the duplicate will be retained by the taxpayer and presented at the pier, where it will be stamped "Used" and returned to him.

Return of income.—An alien actually present in the United States who is not a mere transient or sojourner is for the purposes of income tax a resident alien, and his taxable income shall include that derived from sources within and without the United States. A nonresident alien individual means an individual who is neither a citizen nor resident of the United States, or one whose stay in the United States is limited to a definite period by the immigration laws, and his taxable income shall include that derived in full from sources within the United States and that portion of income derived from sources partly within and partly without the United States which is allocated or apportioned to sources within the United States.

Personal exemption.—A resident alien may claim for the year 1928 and thereafter a personal exemption of \$1,500 if single, or \$3,500 if the head of a family or married and living with husband or wife during the entire taxable period, and in addition \$400 for each person (other than husband or wife) dependent upon and receiving his chief support from the taxpayer if such dependent is under 18 years of age or is incapable of self-support because mentally or physically defective. In case the status of a resident alien changes during the taxable year, the personal exemption allowed a single person, a head of a family, or a married person living with husband or wife, will be prorated according to the period during which he occupied each status. For the year 1928 and thereafter a nonresident alien may claim a personal exemption of \$1,500 whether married, single, or the head of a family, and in addition the credit of \$400 for each dependent if the alien is a resident in Canada or Mexico. In either case, if the taxable period is less than a year the personal exemption and credit for dependents shall be reduced proportionately to the length of the period for which the return is made.

Rate of tax.—For a resident alien the normal tax on the net income in excess of the personal exemption and other credits shall be computed for the year 1928 and thereafter at the rate of  $1\frac{1}{2}$  per cent on the first \$4,000, 3 per cent on the second \$4,000, and 5 per cent on the balance of such income. For a nonresident alien the tax on the net income in excess of the credit for dividends and personal exemption shall be computed at 5 per cent, except that if the alien is a resident of Canada or Mexico the normal tax on the net income attributable to compensation for personal services performed in the United States in excess of the personal exemption and credit for dependents shall be taxed at the rate of  $1\frac{1}{2}$  per cent on the first \$4,000, 3 per cent on the second \$4,000, and 5 per cent on the balance of such income. In addition to the normal tax a surtax is also imposed on the net income in excess of \$10,000, in which case Form 1040 should be filled in by a resident alien, or Form 1040 B by a nonresident alien, and attached to this form.

Earned income.—In computing the tax there may be claimed a credit of 25 per cent of the tax which would be payable if the earned net income constituted the entire net income. This credit shall not exceed 25 per cent of the normal tax, plus 25 per cent of the surtax on the earned net income. Earned net income may consist of compensation received for personal services, or an amount not in excess of 20 per cent of the net profits derived from a trade or business in which both personal services and capital are income producing factors. If the net income is not over \$5,000 the entire amount shall be considered as earned net income, or if the net income is more than \$5,000 the earned net income shall not be less than \$5,000. In no case shall the earned net income for the year 1928, and thereafter exceed \$30,000.

Penalties.—If a taxpayer violates or attempts to violate Section 147 of the Revenue Act of 1928, the provision under which this return is required, there shall, in addition to other penalties imposed by the Act, be added as part of the tax 25 per cent of the total amount of the tax or deficiency in the tax, together with interest at the rate of 1 per cent a month from the time the tax became due.

## NOTICE OF TERMINATION OF TAXABLE PERIOD AND DEMAND FOR PAYMENT OF TAXES DUE

In accordance with the provisions of Section 147 of the Revenue Act of 1928, notice is hereby served on the individual whose name appears on the reverse side of this sheet, that the taxable period of such person is declared terminated at the end of the calendar month last past, and demand is hereby made for the payment of the tax due for the taxable period declared terminated and for the payment of any income taxes due the United States for prior years which have not been paid.

ROBT. H. LUCAS, Commissioner.

Amount Paid

CASHIER'S STAMP

## For the Calendar Year 1931 or Fiscal Period

RESIDENT RETURN

This return may be used by any resident individual. Nonresidents should use Form 203.

HERE

REMITTANCE

ATTACH

begun... and ended...

Do not write in these two spaces

### PRINT NAME AND RESIDENCE ADDRESS PLAINLY BELOW

NAME

S. NICHOLAS ROERICH

(First name in full-middle initials-last name in full)

RESIDENCE ADDRESS

310 RIVERSIDE DRIVE

COPY

FILE AT OFFICE OF THE NEW YORK STATE INCOME TAX BUREAU, STATE OFFICE BLDG., ALBANY, N.Y., OR AT ANY DISTRICT OFFICE, ON OR BEFORE APRIL 15. 1932 READ INSTRUCTIONS CAREFULLY BEFORE PREPARING YOUR RETURN

				ANS	WER	QUESTIC	INS	1-10
1.	Did you file a	N. Y.	State return	for 1929 Yes	1930	Yes	6.	We

- 2. If so, give any address other than that above used on such returns
- 3. If no return for 1930 was filed, state reasons-----
- 4. Is this a joint return of the income of husband and wife? No 5. If not, and your wife (or husband) filed a separate return, give

name and address on such return-

- Were you married and living with wife (or husband) on the last day of your taxable year?.....
- 7. If not, were you on the last day of your taxable year the "head of a family" as defined in Instruction D?-----
- 8. If your status with respect to Questions 6 and 7 changed during the year, state the date of such change----
- How many dependent persons (other than husband or wife) under eighteen years of age, or mentally or physically defective, were receiving their chief support from you on the last day of your taxable year?
- What is the relationship to you of the dependent persons for whose support you claim exemption under Questions 7 and 9?

### **NON-TAXABLE INCOME**

11-13. Enter here all income received during the taxable year 1931, not reported on page 2. (See Instruction E)

			\$	
	NO SHOOM	R. LOSS) FROM SALE OR EXCHANGE OF 5 reluded in Item 25). See Jackwellon 27	\$	7.5
100	131 34 43	A TOP TO THE STATE OF THE STATE	\$	
\$	and the same		9	

### CALCULATION OF TAX

Amount of Income Taxable at each Rate Rate of Tax Amount of Tax 14. Net income as shown by Item 35 (Page 2 of 1097 46 No 17. 11/2% on first \$10,000 of Item 16 \$. 18. 3% on next \$40,000 of Item 16 2500 00 15. Less personal exemption (See Instruction D) 19. 41/2% on amount over \$50,000 of Item 16..... None Balance Subject to Tax (Item 14 minus Item 15) \$

The tax may be paid in full on filing return, or it may be paid in two installments, at least two-thirds of the total tax being required to be paid on the due date, and one-third within six months thereafter. If paid in installments, file Form 201-3S with the second payment.

Make checks or money orders payable to State Tax Commission. (Do not send currency by mail. Postage stamps will not be accepted in payment of tax.)

STATE OF NEW YORK-COUNTY OF-

I swear (or affirm) that to the best of my knowledge and belief, the statements contained in this return, including the accompanying schedules and statements (if any) are true, and that this return is a true and complete statement, in accordance with the law and regulations, of all income, gains and profits received by or accrued to me (or the person for whom this return is made) during the taxable year 1931, and that all deductions entered or claimed herein are allowable under the law and regulations.

Sworn to and subscribed before me this.....day of......

(Signature of individual or agent)

(Signature of officer administering oath)

(Title)

(Address of agent)

### Form 201-Page 2 of Return

Include income of wife (or husband) and earnings of dependent minor children, unless reported in separate returns.

RETURN OF TAXABLE INCOME 21. INCOME FROM PERSONAL SERVICES (Salaries, Wages, Fees, Commissions, Bonuses, etc.)

-	Show the gross amount received (or accrued); if deduc- tions therefrom are claimed, report them at Hem 31.	W	(a) OCCUPATE	ON OR TRADE	1	(b) NAME AN	D ADD	RESS OF EMPLO	YER	AMOUNT	San
	tions therefrom are claimed, report them at item 31.  Do not include compensation paid by the United States.	1	Artist	Y van Y va		day cal	107		14	s 3800	00
										V	100
ı	See Instruction 21.										
1	22. INCOME FROM INTEREST										-
1	Report interest received (or accrued) and collectible matured coupons.										
	Include interest on municipal er state bonds other than municipal or state bonds of the State of New York, as such interest is farable. Esclude exempt interest (See Instruction B.)		Interest (bonds or notes, etc.)	other corporat	e of	oligations; ba	nk d	eposits, mortg	ages,	\$	
2	3. INCOME FROM DIVIDENDS										
	Explain valuation of dividends received other than in each.		(a) Received in o							s40	00
1	See Instruction 23.		(b) Received in p		th	an true stock	divi	dends)			
1	4. INCOME FROM PARTNERSHIPS, ES		Give name and address of		er tre	set. If catalo er to	ust, o	ive also name and a	ıddr ces		
1	resport your entire share (takether distributed or not) of the profits of the partnership (including selary and interest) or of the income of the estate or trust, not merely the amount withdrawn by or paid to you.		(a)	2077 2077 20	Лаш	olary.	10000	80 C74 C7 ROOM	No.	\$	
2	5. INCOME FROM BUSINESS OR PRO		(b)	Farming) See	Inc	truction 25	RTEA	O CALL			
ſ									-		
1	Enter here your not income from business or profes- sion, Item 23 of Schedule A. Not income (or loss) from forming operations as computed on Farm Schedule, Farm 201, or other schedule used in Hen thereof, should be entered here, and such achedule attached to this resum.		Net income from I farm schedule	hereto attach	ed.	sion as showi	on	Schedule A, o	or on	\$ 12 5 0 10	1
2	6. INCOME FROM RENTS (See Instruct			- (If a loss, enter	in	red ink and subtra	ct)				7
-	6. INCOME FROM RENTS (See Instruct	ion	26)	(c) REPAIRS	,			1/->	-		
	(a) KIND OF PROPERTY AND LOCATION		(b) GROSS AMOUNT	DEPRECIATIO:	N			(e) INTEREST OTHER EXPEN	SES		
	(a) Mile of Paylear I AND LOCATION		OF RENTS	(explain in		(d) TAXE	S	(explain prin			0
14	The second second second second	-		Schedule B	)			Schedule C	2)		
•			\$	\$		\$		\$	The state of		^
-			12								
-											
-			200 -01								
-	(f) NET INCOME FROM RENTS: (Column b, less total of columns e, d and e.) If net los enter in red ink and subtreet.		\$	\$	18.7	\$	e to de	\$	op. i	\$	1-15
2	<ol> <li>PROFIT (OR LOSS) FROM SALE Of and not included in Item 25). See 1</li> </ol>	R	EXCHANGE OF	STOCKS OF	RE	ONDS (Not	deal	in as a busi	ness		
1	First fill out Schedule B; then enter here the amount of pain or loss shown therein.			hedule	At.	tached				2696	52
2		_		(If a loss, enter to	red	ink and subtrast)				Ψ	
-	8. PROFIT (OR LOSS) FROM SALE O (Not dealt in as a business and not	in	EXCHANGE OF cluded in Item 25	LANDS, BU. or Item 27).	See	INGS AND	OTI 28	IER PROPER	RTY		
1	First fill out Schedule F; then enter here the cussunt of Item 18 thereof.		(1)							8	
2	9. OTHER INCOME (Describe each sour		separately as 1:	(If a loss, enter in	red	ink and subtract)					
1	Do not report exempt income here or income from		(a)	Tull)					- GHE	AG CHARLES	
	sources described in Hems 21-28, inclusive.		(b)	***************************************				***************************************		8	
*	10. TOTAL INCOME FR	0	M ABOVE	SOURCE	5	/TI 01				33.63	
3										1143	48
	(a) Interest on indebtedness (not de (b) Taxes on real property (except a	duc	ted in Schedule A	or Item 26 (	e))	noted in Sch		\$46	02	Minute Street	
	21 of Helli 20 (d)									The Very may be	
	(c) Other taxes (except income taxes	;).	State character a	nd amount of	eac	h				n vibrala aleM	
	OTHER DEDUCTIONS (Describe each	se	parately and in ful	11)							
	(4)		( )							A STATE OF	
-	32. TOTAL DEDUCTIONS									the state	
	- The Debootions (except	CO	ntributions)							46	02
	Trem 30 minus Item .	52)								1097	46
	34. CONTRIBUTIONS (See Instruction 34 NET INCOME SUBJ	)	These must not exce	ed 15% of Item	33.	Submit detail	s on !	Schedule D, pa	ge 3		
	THE PROPERTY SUBS	اند	I IU IAX	(Item 33 min	110	Item 34) Eut				1007	46

### Form 201-Page 3 of Return SCHEDULE A

Income from Business or Profession (Item 25 of Return)

Income from farming may be de-tailed on Farm Schedule, Form 207, or other separate farm sched-ule to be attached to this return.

1. (a) Kind of business			(b) Bueine	es addassa			CHOIL E	)	_
(c) Date when the business was begun			(b) Dusine	ss address					
2. State whether cash or accrual basis is used									
3. If inventories are used, state if (a) at cost Tappayers may submit statements attached hereto, in the form in wh.  4. TOTAL SALES AND INCOME FROM BUSINESS OF	o= (b) =6								
Taspayers may submit statements attached hereto, in the form in wh	ich their books	are kept, s	market, whiche	ver is lower-	in and enter the				
	Professio	NAL SE	RVICES		***********	nes income (pr	18		,
COST OF GOODS SOLD	m 1 4 78		OTHER BUSINESS		- L	1			-
A Section of Section 19 Section 19 Section 19	ter bliffer	13. Sa	alary withdrawn	by taxpayer	or				
5. Labor\$	100 2 100 20	2 .75	paid to wife or (Report as income at	minor child	ren				1
		13a. C	Other salaries an	id wages not	тс. ф				
6. Materials and supplies		p	Other salaries an orted under "Co:	st of Goods So	old"	-	-		1
THE STREET OF STREET STREET STREET	P. R. William					***************************************			1
7. Merchandise purchased		15. In	ent for business terest on busine	property					
1 TABLE 11 TABLE 1		1 1 1	to others		1000				
8. Other costs		10. 13	axes on busines	ss and busin	iess				
Block own Streether Total Land Ball		17 R	property pairs, depreciati						
9. Plus inventory at beginning of year			(explain in Schedule B	- below)					
The state of the s		18. Lo	osses not comper	nsated by ins	mr-				
10. Total\$		10 R-	ance (explain in Se) ad debts (explain	Asdule C-below)					
			below)			4	1		
11. Less inventory at end of year		20. Ot	her expenses (ees	plain principal	Iema				
12. Cost of Goods Sold (Item 10 minus Item 11)\$		10	in Schedule C-belos	ю)					
minus Item 11)\$		21. To	TAL OTHER BUSIN	NESS DEDUCTI	ONS \$		-		-
22. Cost of Goods Sold Plus Total Other Bus.	mee Des						1		4307
							. \$		
23. NET INCOME FROM BUSINESS OR PROFESSION	(Item 4 m	inus Ite	m 22) Enter at	Item 25, pag	e 2			and some ma	-
Note: If included in Items 5, 13a or 14 above are point if single, or \$4,000 or more if married and living with	yments to a	ny indio	idual for salaries, 1	wages, rent, or	other fixed or	determinable	income	£ \$2 500 as	riotter
if single, or \$4,000 or more if married and hoing with	wife (or his	isband),	return of informa	tion on forms	105 and 106 s	ould be filed	i.		
Details of deductions for repairs, depreciat	ion and d	enletion	n at Item 17	of Schadula	A I IA	- 20 ()			
Details of deductions for repairs, deprecial if the property was acquired after January 1919, show both original cost and the fair 1	AR (exclusive	4. OST ve of land	5. VALUE ON JANUARY 1, 19 (exclusive of lan	DEPI	A, and Ite ereof. If a preciation of CHARGED 7. HOUNT SINCE PURCHASE	DEPLETION OFF 8. AMOUNT	FOR	9. INCIDENT REPAIRS NOT INCLU	AL DEL
1. 2. 3  REFER KIND OF PROPERTY TO ITEM (Principal material of which constructed)	AR (exclusive	4. OST ve of land	5. VALUE ON JANUARY 1, 19 (exclusive of lan	DEPI	CHARGED 7.	DEPLETION OFF 8. AMOUNT	FOR YEAR	9. INCIDENT REPAIRS NOT INCLU	AL STORE
1. 2. 3  REFER KIND OF PROPERTY TO ITEM (Principal material of which constructed)	AR (exclusive	4. OST ve of land	5. VALUE ON JANUARY 1, 19 (exclusive of lan	DEPI	CHARGED 7.	DEPLETION OFF 8. AMOUNT	FOR YEAR	9. INCIDENT REPAIRS NOT INCLU IN DEPRECIAT	AL STORE
1. 2. 3  REFER KIND OF PROPERTY TO ITEM (Principal material of which constructed)	AR (exclusive	4. OST ve of land	5. VALUE ON JANUARY 1, 19 (exclusive of lan	DEPI	CHARGED 7.	DEPLETION OFF 8. AMOUNT	FOR YEAR	9. INCIDENT REPAIRS NOT INCLU IN DEPRECIAT	AL STORE
1. 2. 3  REFER KIND OF PROPERTY TO ITEM (Principal material of which constructed)	AR (exclusive	4. OST ve of land	5. VALUE ON JANUARY 1, 19 (exclusive of lan	DEPI	CHARGED 7.	DEPLETION OFF 8. AMOUNT	FOR YEAR	9. INCIDENT REPAIRS NOT INCLU IN DEPRECIAT	AL STORE
1. 2. 3  REFER KIND OF PROPERTY TO ITEM (Principal material of which constructed)	AR (exclusive	4. OST ve of land	5. VALUE ON JANUARY 1, 19 (exclusive of lan	DEPI	CHARGED 7.	DEPLETION OFF 8. AMOUNT	FOR YEAR	9. INCIDENT REPAIRS NOT INCLU IN DEPRECIAT	AL STORE
1. 2. 3  REFER KIND OF PROPERTY TO ITEM (Principal material of which constructed)	AR (exclusive	4. OST ve of land	5. VALUE ON JANUARY 1, 19 (exclusive of lan	DEPI	CHARGED 7.	DEPLETION OFF 8. AMOUNT	FOR YEAR	9. INCIDENT REPAIRS NOT INCLU IN DEPRECIAT	AL STORE
1. 2. 3  REFER KIND OF PROPERTY TO ITEM (Principal material of which constructed)	AR (exclusive	4. OST ve of land	5. VALUE ON JANUARY 1, 19 (exclusive of lan	DEPI	CHARGED 7.	DEPLETION OFF 8. AMOUNT	FOR YEAR	9. INCIDENT REPAIRS NOT INCLU IN DEPRECIAT	AL STORE
L. S.	AR (exclusive	4. OST ve of land	5. VALUE ON JANUARY 1, 19 (exclusive of lan	DEPI	CHARGED 7.	DEPLETION OFF 8. AMOUNT	FOR YEAR	9. INCIDENT REPAIRS NOT INCLU IN DEPRECIAT	AL STORE
1. 2. 3  REFER KIND OF PROPERTY TO ITEM (Principal material of which constructed)	(esclusion of the second of th	4. OST Ve of lan. QUIRED I 1, 1919,	State Both  State Both  State Both  State Both  State Both	DEPI	CHARGED 7.	DEPLETION OFF 8. AMOUNT	FOR YEAR	9. INCIDENT REPAIRS NOT INCLU IN DEPRECIAT	AL STORE
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L. EFFR. KIND P. SUPPLY V. N.	(exclusion of the control of the con	4. OST ve of lan. QUIRED I 1, 1919,	STATE BOTH  S. DULE C  Of Schedule C  Of of Schedule C	Das de Depuis de la company de	A 26 (e) on	D DEPLETION OFF  8. AMOUNT TAXABLE 1931 \$	FOR YEAR S	, 1919 v 9. INCIDENT REPAIRS NOT INCLU IN INDEPRECIAT.	AL STORE
L. KIND PROPERTY YE. YE. YE. YE. YE. YE. YE. YE. YE. YE	(exclusion of the control of the con	4. OST ve of lam QUIRED I 1, 1919, SCHE and 2	STATE BOTH  S. L.	DEPOSITION OF THE PROPERTY OF	RECIATION AN CHARGED 7. HOUNT SINCE PURCHASE	D DEPLETION OFF  8. AMOUNT TAXABLE 1931 \$	FOR YEAR S	9. 9. 1919 v 9. 10. 10. 10. 10. 10. 10. 10. 10. 10. 10	AL STORE
EFFER DESIGNATION  TOTALS	S. S	4. OST ve of lam QUIRED I 1, 1919, SCHE and 2	VALUE ON JANUARY 1, 19 Exclusive of Innuary 1, 1	DEPOSITION OF THE PROPERTY OF	PERCENTION AND CHARGED 7. TO THE PURCHASE PURCHASE PURCHASE 126 (e) on 5.	D DEPLETION OFF  8. AMOUNT TAXABLE 1931 \$	FOR YEAR S	, 1919 v 9. INCIDENT REPAIRS NOT INCLU IN DEPRECIAT. DEDUCTION 6.	AL STORE
EFFER DESIGNATION  TOTALS	S. S	4. OST ve of lam QUIRED I 1, 1919, SCHE and 2	VALUE ON JANUARY 1, 19 Exclusive of Innuary 1, 1	DEPOSITION OF THE PROPERTY OF	PERCENTION AND CHARGED 7. TO THE PURCHASE PURCHASE PURCHASE 126 (e) on 5.	D DEPLETION OFF  8. AMOUNT TAXABLE 1931 \$	FOR YEAR S	, 1919 v 9. INCIDENT REPAIRS NOT INCLU IN DEPRECIAT. DEDUCTION 6.	AL STORE
EFFER DESIGNATION  TOTALS	S. S	4. OST ve of lam QUIRED I 1, 1919, SCHE and 2	VALUE ON JANUARY 1, 19 Exclusive of Innuary 1, 1	DEPOSITION OF THE PROPERTY OF	PERCENTION AND CHARGED 7. TO THE PURCHASE PURCHASE PURCHASE 126 (e) on 5.	D DEPLETION OFF  8. AMOUNT TAXABLE 1931 \$	FOR YEAR S	, 1919 v 9. INCIDENT REPAIRS NOT INCLU IN DEPRECIAT. DEDUCTION 6.	AL STORE
EFFER DESIGNATION  TOTALS	S. S	4. OST ve of lam QUIRED I 1, 1919, SCHE and 2	VALUE ON JANUARY 1, 19 Exclusive of Innuary 1, 1	DEPOSITION OF THE PROPERTY OF	PERCENTION AND CHARGED 7. TO THE PURCHASE PURCHASE PURCHASE 126 (e) on 5.	D DEPLETION OFF  8. AMOUNT TAXABLE 1931 \$	FOR YEAR S	, 1919 v 9. INCIDENT REPAIRS NOT INCLU IN DEPRECIAT. DEDUCTION 6.	AL STORE
EFFER DESIGNATION  TOTALS	S. S	4. OST ve of lam QUIRED I 1, 1919, SCHE and 2	VALUE ON JANUARY 1, 19 Exclusive of Innuary 1, 1	DEPOSITION OF THE PROPERTY OF	PERCENTION AND CHARGED 7. TO THE PURCHASE PURCHASE PURCHASE 126 (e) on 5.	D DEPLETION OFF  8. AMOUNT TAXABLE 1931 \$	FOR YEAR S	, 1919 v 9. INCIDENT REPAIRS NOT INCLU IN DEPRECIAT. DEDUCTION 6.	AL STORE
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EFFER NATION PROPERTY VE. N. N. N. O.	S S S AMO	44. OST ve of land ve	VALUE ON JANUARY 1, 19 Exclusive of Innuary 1, 1	BERTON DEPT.	preciation (CHAPTER)	page 2 of	S. S	9. INCLUENT REPAIRS NOT INCLUENT IN DEPRECIATION DEDUCTION OF AMOUNT	AL S DDEL
TOTALS.  Details of contributions claimed as deductions  Totalls of contributions claimed as deductions  Details of contributions claimed as deductions  Details of contributions claimed as deductions  Line Representation of the contributions claimed as deductions	S. S. S. AMC	4. OST ve of lam. QUIRED 11, 1919, 1, 1919, 33. UUNT GCCHE ANA ANA ANA ANA ANA ANA ANA ANA ANA AN	NALIZED AND AND AND AND AND AND AND AND AND AN	But be de la	preciation of the control of the con	DEPLETION DEPLETION OF S. AMOUNT TAXABLE 1931 \$. page 2 of the action of	FOR S.	9. 1919 v 9. 19. 19. 19. 19. 19. 19. 19. 19. 19.	AL S DDEL
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EFFR TO TITM NO.  Details of contributions claimed as deductions. I a make the contribution of the contribution of the contributions claimed as deductions. I a make the contributions claimed as deductions.	S. S. S. AMC	4. OST ve of lam. QUIRED 11, 1919, 1, 1919, 33. UUNT GCCHE ANA ANA ANA ANA ANA ANA ANA ANA ANA AN	NALIZED AND AND AND AND AND AND AND AND AND AN	But be de la	preciation of the control of the con	DEPLETION DEPLETION OF S. AMOUNT TAXABLE 1931 \$. page 2 of the action of	FOR S.	9. 1919 v 9. 19. 19. 19. 19. 19. 19. 19. 19. 19.	AL S DDEL

## SCHEDULE E

PROFIT (OR LOSS) FROM SALE OR EXCHANGE OF STOCKS AND BONDS. Item 27, page 2 of return. See page 2 of Instructions.

All securities acquired on or after January 1, 1919 and sold during the taxable year may be combined and the total cost entered in column 5, the total sales price in column 4, and the total profit or loss in column 7 or column 8. All the information with respect to each security acquired prior to January 1, 1919, and sold during the taxable year must be given in detail. With respect to the latter class, gain or loss, if any, must be computed on each transaction, by subtracting from the sales price (column 4) the cost (column 5) or fair market value as of January 1, 1919 (column 6) whichever is higher, in the case of a gain, or, whichever is lower, in the case of a loss. If either the cost or fair market value as of January 1, 1919 is higher than the sales price, no taxable profit will result; if either is lower, no loss may be deducted. In the latter cases, you should enter "none" in column 7 or column 8 opposite the items so affected. If the transactions are too numerous to enter below, a separate schedule in the same form may be submitted.

COLUMN 1	COL. 2	COL. 3	column 4	COLUMN 5	COLUMN 6	COLUMN 7	COLUMN 8
TITLE OF SECURITY	NO. OF SHARES AMOUNT OF	YEAR ACQUIRED	SALES PRICE	COST	FAIR MARKET VALUE	PROFIT SEE INSTRUC-	LOSS
	BONDS	their negre-	Rossing depros	Stille	JANUARY 1, 1919	TIONS ABOVE	SEE INSTRUC- TIONS ABOVE
			\$	\$	\$	\$	8
			2012	01		**********	1677 07
			CONTRACTOR (HI)	#IS		pay to here	
	THE RESERVE OF THE PARTY OF THE	The same of the sa	THE RESERVE OF THE PARTY OF THE		10 00	11 1007 200	
Totals	- A1300	NO SECUENCE		1			
Subtract the lower of columns 7 and 8 fr	om the higher	C cross au			in and the	<u> </u>	the same of the same of the same of
Net gain or loss (difference between co	olumn 7 and	column 8)	to be reported	nt Itam 27	2 5		\$
State whether or not stock dividends a	ere received	on stools -	to be reported	at Mem 21, page	2 of return	<b>5</b>	\$
State whether or not stock dividends w	THE TECETYEE	or stock r	ights exercised	during period o	of ownership wit	h respect to any	y of the stocks
If so, state if such dividends or right-	The old the	d been ate	20100100100			PERFECT SAME	Tungang and S
If so, state if such dividends or rights (A separate schedule should be submitt declaration; (c) number of shares	ed showing	o adjust co (a) name o	of corporation	1, 1919 value which declared	stock dividend	or issued rights	· (b) date of
declaration; (c) number of shares	and class of	stock held	prior thereto;	(d) number of	new shares acqu	ired thereby.)	, (b) date of
PROFIT (OR LOSS) FROM SALE OR See page 2 of Instructions.	EXCHANG	SOE OF LAN	CHEDULE NDS, BUILDING	F GS, AND OTHE	ER PROPERTY.	Item 28, pag	ge 2 of return.
If more than one sale or exchan	ge was effect	ed during #1	a was sub-it				
called for by both column 1 and co Where the property sold was o of such other owners.	imili 2, il al	duited sub	sequent to land	12TV   IUIU mive	only the informa	tion called for in	column 1
							The state of the state of
(1) Kind of property		(2) Locat	ion	***************************************	(	3) Year acquire	d
(4) Sale price (or fair market value of	property rece	ived in excl	nange)			\$	
COLUMN 1			BREEKEE	COLUMN 2			
(5) Original purchase price		(10)	Exchange valu		019 \$	otals of deduct	0
(6) Add permanent improvement since purchase	MAX	(11)	Add permanen	t improvement	since Janu-		07.0
(7) Total	The state of the s	(12)			\$		
(8) Deduct depreciation since pur-					ary 1, 1919		
(9) Cost (line 7 less line 8)\$.			Adjusted Janu	ary 1, 1919 valu	ie (line 12		
(15) (a) If Item 4 is higher than both							
(b) If Item 4 is lower than both	Items 9 and	14 insert h	ere the higher o	of Items 9 and 14	}	\$	
Note: If Item 4 is neither higher	nor lower than	both Items	and 14 outer 0	name" and 14	hobb on bomi	do anomud	2 de states
(16) The gain or loss (difference between	en Items 4 a	nd 15) to 1	and 17, enter	none and report	no gain or loss at	11em 10.	
(16) The gain or loss (difference between			be reported at I	tem 28, page 2			
Audited By	ffice Use Only						
The state of the s							

S. NICHOLAS ROERICH

# SCHEDULE OF PROFIT & LOSS ON TRADING IN SECURITIES FOR PERIOD FROM JANUARY 1, 1931 TO JULY 14, 1931

	No. of Sh. Solo	d Cost	Selling Price	Loss	Profit
Air Reduction Alaska Juneau Aluminum Co. of Amer Allied Chem. & Dye American Can Auburn Auto J. I. Case Elec. Power & Lt. Foster Wheeler Midland Steel Prod. Mullins Mfg. Nash Motors Steart Warner Superior Steel United Air canft Worthington Fump	100 50 40 375 50 2,001 125 50 200 850 200 50 550 50	\$ 10,262.50 931.25 8,489.00 65,656.25 5,675.00 398,032.19 14,980.13 2,426.25 2,815.00 5,917.50 25,401.25 7,930.00 1,068.75 950.00 17,345.00 4,253.76	\$ 10,096.00 949.80 8,686.00 65,447.50 5,424.28 396,522.67 15,113.76 2,384.25 2,739.25 5,649.50 24,618.50 7,962.00 1,031.05 885.50 17,558.00 4,369.25	\$ 166.50 208.75 250.72 1,509.52 42.00 75.75 268.00 782.75 37.70 64.50	\$ 18.55 197.00 133.63 32.00 213.00 115.49
Ţ.O	ss on sa	\$572,133.83 ——————————————————————————————————	\$569,437.31	\$3,406.19 709.67 \$2,696.52	\$709.67

